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# UNITED STATES SECURITIES EXCHANGE COMMISSION

Washington, D.C. 20549

# FORM SD

### **Specialized Disclosure Report**

# **Avago Technologies Limited**

(Exact name of registrant as specified in its charter)

Singapore (State or other jurisdiction of incorporation or organization)

> 1 Yishun Avenue 7 Singapore 768923 (Address of principal executive offices)

001-34428 (Commission File Number) 98-0682363 (IRS Employer Identification No.)

N/A (Zip code)

Patricia McCall, Vice President & General Counsel (408) 435 7400 (Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2013.

#### Section 1. – Conflict Minerals Disclosure

# Item 1.01 Conflict Minerals Disclosure and Report

Avago Technologies Limited (the "Company") has evaluated its product lines for the year ended December 31, 2014 and determined that certain products it manufactures or contracts to have manufactured contain tin, tungsten, tantalum and/or gold and as a result it has prepared and filed a Conflict Minerals Report for the year ended December 31, 2014.

# **Conflict Minerals Disclosure**

A copy of the Company's Conflict Minerals Report is provided as Exhibit 1.01 hereto and is publicly available on its website at http://www.avagotech.com/pages/corporate/citizenship/the\_environment/.

# Item 1.02 Exhibit

The Conflict Minerals Report required by Item 1.01 is filed as Exhibit 1.01 to this Form.

# Section 2. – Exhibits

# Item 2.01 Exhibits

Exhibit 1.01 - Conflict Minerals Report, as required by Items 1.01 and 1.02 of this Form.

#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

## AVAGO TECHNOLOGIES LIMITED

By: /s/ Bryan Ingram

Name: Bryan Ingram Title: Senior Vice President and Chief Operating Officer

Date: May 29, 2015

#### Avago Technologies Limited Conflict Minerals Report For The Calendar Year Ended December 31, 2014

This Conflict Minerals Report (this "Report") of Avago Technologies Limited for the calendar year ended December 31, 2014 (the "Reporting Period") is filed in accordance with Rule 13p-1 under the Securities Exchange Act of 1934, as amended (the "Rule") and pursuant to the Company's Specialized Disclosure Report on Form SD ("Form SD") for the Reporting Period filed with the Securities and Exchange Commission (the "SEC"). The Rule imposes certain reporting and disclosure obligations on SEC registrants for which cassiterite, columbite-tantalite, gold, wolframite, or their derivatives, which are limited to tin, tantalum and tungsten ("conflict minerals") are necessary to the functionality or production of a product manufactured, or contracted to be manufactured, by the registrant. References in this Report to "Avago," "the Company," "we," "our," or "us" refer to Avago Technologies Limited and its subsidiaries, on a consolidated basis, unless otherwise indicated or the context otherwise requires.

#### **Company and Product Overview**

We are a leading designer, developer and global supplier of a broad range of semiconductor devices with a focus on analog III-V based products and complex digital and mixed signal complementary metal–oxide–semiconductor based devices. We offer analog products based on III-V semiconductor materials, which have higher electrical conductivity than silicon and thus tend to have better performance characteristics in radio frequency and optoelectronic applications. III-V refers to elements from the 3rd and 5th groups in the periodic table of chemical elements, and examples of these materials are gallium arsenide, gallium nitride, and indium phosphide. We have a history of innovation and offer thousands of products that are used in end products such as smartphones, hard disk drives, computer servers, consumer appliances, data networking and telecommunications equipment, enterprise storage and servers, and factory automation and industrial equipment. We have four reportable segments: wireless communications, enterprise storage, wired infrastructure and industrial & other, which align with our principal target markets. We differentiate ourselves through our high performance design and integration capabilities and focus on developing products for target markets where we believe we can earn attractive margins.

#### **Design of Conflict Mineral Program**

Avago's due diligence framework with regards to conflict minerals, which is summarized below, is designed to conform in all material respects with the Organization for Economic Co-operation and Development ("OECD") Guidance for Responsible Supply Chains for Minerals from Conflict-Affected and High Risk Areas, and the Supplements on Tin, Tantalum and Tungsten and on Gold.

Step 1- Establish strong company management systems:

- Adopt a Conflict Mineral Policy and make it publicly available on our website (http://www.avagotech.com/pages/corporate/citizenship/the\_environment/ ).
- Establish an internal conflict minerals team led by our Global Quality organization to implement our conflict minerals policy, which reports program activities to executive management on a regular basis.
- Establish a public email address (avago.conflict-minerals@avagotech.com) and a webpage on our website (http://www.avagotech.com/pages/contact/) for general inquiries.
- Establish a grievance mechanism to enable employees, suppliers and stakeholders to report any concerns and violations.
- Maintain records relating to our conflict minerals program .
- Utilize the Conflict Minerals Reporting Template ("CMRT"), a standardized reporting template developed by the Conflict-Free Sourcing Initiative ("CFSI") to identify smelters and refiners that process the necessary conflict minerals contained in our products.

Step 2- Identify and assess risks in the supply chain

- Survey our supply chain using the CMRT, requesting identified direct suppliers to identify smelters and refiners and country of origin of the conflict minerals.
- Contact suppliers who returned CMRT with trigger items to follow up based on internally defined criteria.
- Compare our final smelters and refiners list against the list of facilities maintained by the Conflict-Free Smelters Program ("CFSP") to identify which smelters and refiners are certified "conflict-free" (CFSP-compliant) or active.

Step 3- Design and implement a strategy to respond to identified risks

- Devise a risk management plan to respond to identified risk in the event that Avago's due diligence process identifies smelters in the supply chain to be sourcing or processing conflict minerals from DRC or adjoining countries (together, the "DRC"), and have not been certified "conflict-free".
- Perform risk mitigation efforts by encouraging suppliers to purchase materials from smelters or refiners validated as "conflict-free" by an independent auditor to demonstrate compliant with the CFSP assessment protocols and implement due diligence measures for conflict-free sourcing.
- Contact smelters and refiners who are not certified "conflict-free" or active, whose email contact information is available from CFSI, to participate in an independent third party audit program to demonstrate compliant with the CFSP assessment protocols.
- Provide status reports including information on the source and chain of custody of conflict minerals in our supply chain to our senior management regularly.

Step 4- Independent third-party audit of smelter/refiner's due diligence practices

- Avago supports the development of a range of tools and resources including the CFSP, the CMRT, Reasonable Country of Origin Inquiry data and a range of guidance documents on conflict minerals sourcing through our membership with CFSI.
- As Avago does not source directly from conflict minerals processing facilities, we rely on CFSP to coordinate independent third-party audits on smelters and refiners.

Step 5- Report annually on supply chain due diligence

• In accordance with the Rule, Avago will file a Form SD and Conflict Minerals Report ("CMR") with SEC on an annual basis. Our CMR is also available on our website (http://www.avagotech.com/pages/corporate/citizenship/the\_environment/).

#### **Description of Due Diligence Measures Performed**

- Sent our policy to suppliers to communicate the Company's goal, expectations to co-operate in providing due diligence information, encouraged suppliers to purchase materials from smelters or refiners validated as "conflict-free" by an independent auditor demonstrated compliant to CFSP assessment protocols and requested that suppliers return a completed CMRT.
- Contacted suppliers who returned the CMRT with trigger items to follow up based on internally defined criteria.

- Compared our final smelters and refiners list (compiled based on information received from suppliers) against the list of facilities maintained by CFSP to identify which smelters and refiners are certified "conflict-free" or active.
- Performed outreach via email to smelters and refiners who are not certified "conflict-free" or active, whose email contact information is available from CFSI, by sending CFSI's standard smelter engagement letter inviting them to commence their participation in an independent third party audit program to demonstrate their compliance with the CFSP assessment protocols.
- Provided status reports including information on the source and chain of custody of conflict minerals in our supply chain to our senior management regularly, at least once a quarter.

#### **Results of Our Due Diligence Measures**

Avago uses tin, tantalum, tungsten and gold ("3TG") in the design and manufacture of many of its products and is therefore a "downstream" company in the conflict mineral supply chain. Due to the nature of our supply chain, we do not typically have a direct relationship with 3TG smelters and refiners. Our manufacturing operations employ a wide variety of semiconductors, electromechanical components and raw materials that are also supplied by other downstream companies in the supply chain. Our due diligence process involves seeking data from our relevant suppliers, and these suppliers seeking similar information from their supply chain in order to identify the sources for the necessary conflict minerals. We rely on the good faith efforts of our supply chain to provide us with reasonable data. We also depend largely on information collected and provided by CFSI obtained through their independent third party audit programs. We achieved a response rate of 98% for our supply chain survey.

Many of our suppliers sourced 3TG from a variety of upstream sources and provided information to us on an aggregated, company-wide level. Due to the fungible nature of these materials, we understand that these suppliers were unable to trace the 3TG that they source into the products provided to any particular customers (including Avago). As a result, our list of facilities potentially contains more facilities that are actually used in our supply chain. In addition, our list of facilities may not include all the smelters and refiners in our supply chain as our suppliers were unable to identify the smelters and refiners of some of the conflict minerals and not all of our suppliers responded to our survey.

We compare smelters and refiners declared by our suppliers against the list of facilities that are certified "conflict free" by the CFSP and obtain countries of origin information (when available) from CFSI. We have identified 253 smelters and refiners determined to be legitimate processing facilities by the CFSI, of which 132 have been validated as CFSP-compliant (certified "conflict-free"), 53 are listed as "Active" as they are at various stage of the audit cycle in the CFSP, and the remaining 68 have no known participation in the CFSP. However, we were unable to ascertain the country of origin and/or chain of custody of all necessary conflict minerals because, for this reporting period, many smelter and refiner facilities had not yet received a "conflict free" designation.

As reported to us by our relevant suppliers, we have included a list of smelters and refiners determined to be legitimate processing facilities by the CFSI and the locations of these facilities at the end of this report.

#### **Ongoing Improvement Efforts**

For the next reporting period, Avago intends to implement steps to further mitigate the risk that conflict minerals that are necessary to the functionality or production of our products finance or benefit armed groups in the DRC.

These steps include:

a. work with relevant suppliers to update their conflict minerals reporting template using the latest CMRT, and verify the identified smelters with the latest CFSI's updated CFSI list;

- b. continue to refine our conflict minerals program to improve our reasonable due diligence measures in our effort to determine the source and chain of custody of conflict minerals;
- c. continue to work with suppliers and others on industry-wide solutions to enable products that are DRC conflict free;
- d. as required by the Rule, obtain an independent private sector audit for the Report for next reporting period; and
- e. adopt conflict mineral language to be included in the Company's contract provisions and/or purchase orders.

#### **Tables of Our Conflict Minerals Processing Smelters or Refiners**

The smelter and refiner facility names and country location set forth in the lists below are based on information provided by CFSI as of 19th February 2015.

#### Table 1: Smelters and refiners that have been certified "conflict-free" (CFSP-compliant)

Metal	Smelter or Refiner Name	Country Location
Gold	Allgemeine Gold-und Silberscheideanstalt A.G.	Germany
Gold	AngloGold Ashanti Córrego do Sítio Minerção	Brazil
Gold	Argor-Heraeus SA	Switzerland
Gold	Asahi Pretec Corporation	Japan
Gold	Atasay Kuyumculuk Sanayi Ve Ticaret A.S.	Turkey
Gold	Aurubis AG	Germany
Gold	Boliden AB	Sweden
Gold	C. Hafner GmbH + Co. KG	Germany
Gold	CCR Refinery – Glencore Canada Corporation	Canada
Gold	Chimet S.p.A.	Italy
Gold	Dowa	Japan
Gold	Eco-System Recycling Co., Ltd.	Japan
Gold	Heimerle + Meule GmbH	Germany
Gold	Heraeus Ltd. Hong Kong	Hong Kong
Gold	Heraeus Precious Metals GmbH & Co. KG	Germany
Gold	Ishifuku Metal Industry Co., Ltd.	Japan
Gold	Istanbul Gold Refinery	Turkey
Gold	Japan Mint	Japan
Gold	Johnson Matthey Inc	United States
Gold	Johnson Matthey Ltd	Canada
Gold	JSC Ekaterinburg Non-Ferrous Metal Processing Plant	Russian Federation
Gold	JX Nippon Mining & Metals Co., Ltd.	Japan
Gold	Kazzinc Ltd	Kazakhstan
Gold	Kennecott Utah Copper LLC	United States
Gold	Kojima Chemicals Co., Ltd	Japan
Gold	L' azurde Company For Jewelry	Saudi Arabia

Gold	LS-NIKKO Copper Inc.
Gold	Materion
Gold	Matsuda Sangyo Co., Ltd.
Gold	Metalor Technologies (Hong Kong) Ltd
Gold	Metalor Technologies (Singapore) Pte. Ltd.
Gold	Metalor Technologies SA
Gold	Metalor USA Refining Corporation
Gold	Met-Mex Peñoles, S.A.
Gold	Mitsubishi Materials Corporation
Gold	Mitsui Mining and Smelting Co., Ltd.
Gold	Nadir Metal Rafineri San. Ve Tic. A.Ş.
Gold	Nihon Material Co. LTD
Gold	Ohio Precious Metals, LLC
Gold	Ohura Precious Metal Industry Co., Ltd
Gold	OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastvetmet)
Gold	PAMP SA
Gold	PT Aneka Tambang (Persero) Tbk
Gold	PX Précinox SA
Gold	Rand Refinery (Pty) Ltd
Gold	Royal Canadian Mint
Gold	Schone Edelmetaal
Gold	SEMPSA Joyería Platería SA
Gold	Solar Applied Materials Technology Corp.
Gold	Sumitomo Metal Mining Co., Ltd.
Gold	Tanaka Kikinzoku Kogyo K.K.
Gold	The Refinery of Shandong Gold Mining Co. Ltd
Gold	Tokuriki Honten Co., Ltd
Gold	Umicore Brasil Ltda
Gold	Umicore Precious Metals Thailand
Gold	Umicore SA Business Unit Precious Metals Refining
Gold	United Precious Metal Refining, Inc.
Gold	Valcambi SA
Gold	Western Australian Mint trading as The Perth Mint
Tantalum	Changsha South Tantalum Niobium Co., Ltd.
Tantalum	Conghua Tantalum and Niobium Smeltry
Tantalum	Duoluoshan
Tantalum	Exotech Inc.
Tantalum	F&X Electro-Materials Ltd.
Tantalum	Global Advanced Metals Aizu
Tantalum	Global Advanced Metals Boyertown
Tantalum	Guangdong Zhiyuan New Material Co., Ltd
Tantalum	Guizhou Zhenhua Xinyun Technology Ltd., Kaili branch

Korea, Republic Of United States Japan Hong Kong Singapore Switzerland United States Mexico Japan Japan Turkey Japan United States Japan Russian Federation Switzerland Indonesia Switzerland South Africa Canada Netherlands Spain Taiwan Japan Japan China Japan Brazil Thailand Belgium United States Switzerland Australia China China China United States China Japan United States China China

Tantalum	H.C. Starck Co., Ltd.
Tantalum	H.C. Starck GmbH Goslar
Tantalum	H.C. Starck GmbH Laufenburg
Tantalum	H.C. Starck Hermsdorf GmbH
Tantalum	H.C. Starck Inc.
Tantalum	H.C. Starck Ltd.
Tantalum	H.C. Starck Smelting GmbH & Co.KG
Tantalum	Hengyang King Xing Lifeng New Materials Co., Ltd
Tantalum	Hi-Temp
Tantalum	JiuJiang JinXin Nonferrous Metals Co., Ltd.
Tantalum	Jiujiang Tanbre Co., Ltd.
Tantalum	KEMET Blue Metals
Tantalum	Kemet Blue Powder
Tantalum	LSM Brasil S.A.
Tantalum	Metallurgical Products India (Pvt.) Ltd.
Tantalum	Mineração Taboca S.A.
Tantalum	Mitsui Mining & Smelting
Tantalum	Molycorp Silmet A.S.
Tantalum	Ningxia Orient Tantalum Industry Co., Ltd.
Tantalum	Plansee SE Liezen
Tantalum	Plansee SE Reutte
Tantalum	QuantumClean
Tantalum	RFH Tantalum Smeltry Co., Ltd
Tantalum	Solikamsk Magnesium Works OAO
Tantalum	Taki Chemicals
Tantalum	Telex
Tantalum	Ulba
Tantalum	Yichun Jin Yang Rare Metal Co., Ltd
Tantalum	Zhuzhou Cement Carbide
Tin	Alpha
Tin	CV United Smelting
Tin	Dowa
Tin	Gejiu Non-Ferrous Metal Processing Co. Ltd.
Tin	Magnu's Minerais Metais e Ligas LTDA
Tin	Malaysia Smelting Corporation (MSC)
Tin	Melt Metais e Ligas S/A
Tin	Mineração Taboca S.A.
Tin	Minsur
Tin	Mitsubishi Materials Corporation
Tin	OMSA
Tin	PT ATD Makmur Mandiri Jaya
Tin	PT Babel Inti Perkasa
Tin	PT Bangka Putra Karya

Thailand Germany Germany Germany United States Japan Germany China United States China China Mexico United States Brazil India Brazil Japan Estonia China Austria Austria United States China Russian Federation Japan United States Kazakhstan China China United States Indonesia Japan China Brazil Malaysia Brazil Brazil Peru Japan Bolivia Indonesia Indonesia Indonesia

Tin	PT Bangka Tin Industry	Indonesia
Tin	PT Bukit Timah	Indonesia
Tin	PT DS Jaya Abadi	Indonesia
Tin	PT Eunindo Usaha Mandiri	Indonesia
Tin	PT Refined Bangka Tin	Indonesia
Tin	PT Sariwiguna Binasentosa	Indonesia
Tin	PT Stanindo Inti Perkasa	Indonesia
Tin	PT Tambang Timah	Indonesia
Tin	PT Timah (Persero), Tbk	Indonesia
Tin	Thaisarco	Thailand
Tin	White Solder Metalurgia e Mineração Ltda.	Brazil
Tin	Yunnan Tin Company, Ltd.	China
Tungsten	Ganzhou Huaxing Tungsten Products Co., Ltd.	China
Tungsten	Ganzhou Jiangwu Ferrotungsten Co., Ltd.	China
Tungsten	Ganzhou Seadragon W & Mo Co., Ltd.	China
Tungsten	Global Tungsten & Powders Corp.	United States
Tungsten	Hunan Chunchang Nonferrous Metals Co., Ltd.	China
Tungsten	Japan New Metals Co., Ltd.	Japan
Tungsten	Malipo Haiyu Tungsten Co., Ltd.	China
Tungsten	Xiamen Tungsten (H.C.) Co., Ltd.	China
Tungsten	Xiamen Tungsten Co., Ltd	China

# Table 2: Smelters and refiners that have not been certified conflict-free but actively working towards it (Active)

<u>Metal</u> Gold	<u>Smelter or Refiner Name</u> Aida Chemical Industries Co. Ltd.	<u>Country Location</u> Japan
Gold	Asaka Riken Co Ltd	Japan
Gold	Cendres & Métaux SA	Switzerland
Gold	Sabin Metal Corp.	United States
Gold	Shandong Zhaojin Gold & Silver Refinery Co. Ltd	China
Gold	SOE Shyolkovsky Factory of Secondary Precious Metals	Russian Federation
Gold	Yamamoto Precious Metal Co. Ltd.	Japan
Gold	Yokohama Metal Co Ltd	Japan
Tantalum	King-Tan Tantalum Industry Ltd	China
Tin	China Rare Metal Materials Company	China
Tin	China Tin Group Co., Ltd.	China
Tin	Cooper Santa	Brazil
Tin	CV Gita Pesona	Indonesia
Tin	CV JusTindo	Indonesia
Tin	CV Nurjanah	Indonesia
Tin	CV Serumpun Sebalai	Indonesia
Tin	EM Vinto	Bolivia

Tin	Fenix Metals	Poland
Tin	Metallo Chimique	Belgium
Tin	O.M. Manufacturing (Thailand) Co., Ltd.	Thailand
Tin	O.M. Manufacturing Philippines, Inc.	Philippines
Tin	PT Artha Cipta Langgeng	Indonesia
Tin	PT Belitung Industri Sejahtera	Indonesia
Tin	PT BilliTin Makmur Lestari	Indonesia
Tin	Pt Inti Stania Prima	Indonesia
Tin	PT Karimun Mining	Indonesia
Tin	PT Mitra Stania Prima	Indonesia
Tin	PT Panca Mega Persada	Indonesia
Tin	PT Prima Timah Utama	Indonesia
Tin	PT Sumber Jaya Indah	Indonesia
Tin	PT Tinindo Inter Nusa	Indonesia
Tin	Rui Da Hung	Taiwan
Tin	Soft Metais, Ltda.	Brazil
Tin	Yunnan Chengfeng Non-ferrous Metals Co.,Ltd.	China
Tungsten	Chenzhou Diamond Tungsten Products Co., Ltd.	China
Tungsten	Chongyi Zhangyuan Tungsten Co Ltd	China
Tungsten	Fujian Jinxin Tungsten Co., Ltd.	China
Tungsten	Ganzhou Non-ferrous Metals Smelting Co., Ltd.	China
Tungsten	Guangdong Xianglu Tungsten Co., Ltd.	China
Tungsten	Jiangxi Gan Bei Tungsten Co., Ltd.	China
Tungsten	Vietnam Youngsun Tungsten Industry Co., Ltd	Viet Nam
Tungsten	Wolfram Bergbau und Hütten AG	Austria
Tungsten	Xinhai Rendan Shaoguan Tungsten Co., Ltd.	China
Tungsten	Dayu Weiliang Tungsten Co., Ltd.	China
Tungsten	H.C. Starck GmbH	Germany
Tungsten	H.C. Starck Smelting GmbH & Co.KG	Germany
Tungsten	Hunan Chenzhou Mining Group Co., Ltd.	China
Tungsten	Jiangwu H.C. Starck Tungsten Products Co., Ltd.	China
Tungsten	Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd.	China
Tungsten	Jiangxi Xinsheng Tungsten Industry Co., Ltd.	China
Tungsten	Kennametal Fallon	United States
Tungsten	Kennametal Huntsville	United States
Tungsten	Nui Phao H.C. Starck Tungsten Chemicals Manufacturing LLC	Vietnam

# Table 3: Smelters and refiners that have not been certified conflict-free and with no known participation in CFSP

MetalSmelter or Refiner NameCountry LocationGold* Chugai MiningJapanGold* Do Sung CorporationKorea, Republic Of
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Gold	* Hwasung CJ Co. Ltd
Gold	* Korea Metal Co. Ltd
Gold	* Samwon Metals Corp.
Gold	* Torecom
Tin	* CNMC (Guangxi) PGMA Co. Ltd.
Tungsten	* A.L.M.T. Corp.
Tungsten	* Tejing (Vietnam) Tungsten Co., Ltd.
Tungsten	* Wolfram Company CJSC
Gold	Advanced Chemical Company
Gold	Almalyk Mining and Metallurgical Complex (AMMC)
Gold	Bangko Sentral ng Pilipinas (Central Bank of the Philippines)
Gold	Bauer Walser AG
Gold	Caridad
Gold	Daejin Indus Co. Ltd
Gold	Daye Non-Ferrous Metals Mining Ltd.
Gold	Doduco
Gold	Faggi Enrico S.p.a
Gold	FSE Novosibirsk Refinery
Gold	Gansu Seemine Material Hi-Tech Co Ltd
Gold	Guangdong Jinding Gold Limited
Gold	Hangzhou Fuchunjiang Smelting Co., Ltd.
Gold	Hunan Chenzhou Mining Industry Group
Gold	Inner Mongolia Qiankun Gold and Silver Refinery Share Company Limited
Gold	Jiangxi Copper Company Limited
Gold	JSC Uralelectromed
Gold	Kyrgyzaltyn JSC
Gold	Lingbao Gold Company Limited
Gold	Lingbao Jinyuan Tonghui Refinery Co. Ltd.
Gold	Luoyang Zijin Yinhui Metal Smelt Co Ltd
Gold	Moscow Special Alloys Processing Plant
Gold	Navoi Mining and Metallurgical Combinat
Gold	OJSC Kolyma Refinery
Gold	Penglai Penggang Gold Industry Co Ltd
Gold	Prioksky Plant of Non-Ferrous Metals
Gold	So Accurate Group, Inc.
Gold	The Great Wall Gold and Silver Refinery of China
Gold	Tongling nonferrous Metals Group Co.,Ltd
Gold	Yunnan Copper Industry Co Ltd
Gold	Zhongyuan Gold Smelter of Zhongjin Gold Corporation
Gold	Zijin Mining Group Co. Ltd
Tantalum	Shanghai Jiangxi Metals Co. Ltd
Tin	CV Makmur Jaya

Korea, Republic Of Korea, Republic Of Korea, Republic Of Korea, Republic Of China Japan Vietnam Russian Federation United States Uzbekistan Philippines Germany Mexico Korea, Republic Of China Germany Italy Russian Federation China China China China China China Russian Federation Kyrgyzstan China China China **Russian Federation** Uzbekistan **Russian Federation** China **Russian Federation** United States China China China China China China Indonesia

Tin	Estanho de Rondônia S.A.	Brazil
Tin	Feinhütte Halsbrücke GmbH	Germany
Tin	Gejiu Kai Meng Industry and Trade LLC	China
Tin	Gejiu Zi-Li	China
Tin	Huichang Jinshunda Tin Co. Ltd	China
Tin	Linwu Xianggui Smelter Co	China
Tin	Metallic Resources Inc	United States
Tin	Nankang Nanshan Tin Manufactory Co., Ltd	China
Tin	Novosibirsk Integrated Tin Works	Russian Federation
Tin	PT Alam Lestari Kencana	Indonesia
Tin	PT Babel Surya Alam Lestari	Indonesia
Tin	PT Bangka Kudai Tin	Indonesia
Tin	PT Bangka Timah Utama Sejahtera	Indonesia
Tin	PT Fang Di MulTindo	Indonesia
Tin	PT Hanjaya Perkasa Metals	Indonesia
Tin	PT HP Metals Indonesia	Indonesia
Tin	PT Koba Tin	Indonesia
Tin	PT Pelat Timah Nusantara Tbk	Indonesia
Tin	PT Seirama Tin investment	Indonesia
Tin	PT Supra Sukses Trinusa	Indonesia
Tin	PT Tommy Utama	Indonesia
Tin	PT Yinchendo Mining Industry	Indonesia
Tungsten	Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd.	China
Tungsten	Jiangxi Richsea New Materials Co., Ltd.	China

\* According to CFSI, these smelters or refiners are in communication with CFSI with regards to CFSP participation.