

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## OMB APPROVAL

OMB Number: 3235-0287

Estimated average burden

hours per response: 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Spears Kirsten M.</u>  (First) (Middle) C/O BROADCOM INC. 1320 RIDDER PARK DRIVE  (Street) SAN JOSE CA 95131  (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>Broadcom Inc. [ AVGO ]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title below) Other (specify below) VP CFO & Chief Accounting Ofcr
	3. Date of Earliest Transaction (Month/Day/Year) 03/02/2021	
	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock, \$0.001 par value	03/02/2021		A		3,250 <sup>(1)</sup>	A	\$0	44,864 <sup>(2)</sup>	D	
Common Stock, \$0.001 par value	03/02/2021		A		1,562 <sup>(3)</sup>	A	\$0	46,426 <sup>(4)</sup>	D	
Common Stock, \$0.001 par value	03/02/2021		A		1,562 <sup>(5)</sup>	A	\$0	47,988 <sup>(6)</sup>	D	
Common Stock, \$0.001 par value	03/02/2021		A		1,250 <sup>(7)</sup>	A	\$0	49,238 <sup>(8)</sup>	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V		Date Exercisable	Expiration Date					

## Explanation of Responses:

1. On March 2, 2021, the Compensation Committee of the Issuer determined the achievement of certain performance criteria, based on the Issuer's compound annual total stockholder return ("TSR") relative to the S&P 500 Index, and the number of restricted stock units ("RSUs") that will vest with respect to the first of four performance periods pursuant to the terms of a performance stock unit award ("PSU award") granted on December 15, 2020 under the LSI Corporation 2003 Equity Incentive Plan. The RSUs are subject to time-based vesting conditions and will vest in full on December 15, 2021, subject to the Reporting Person's continued service through such date. Upon vesting thereof, the Reporting Person is entitled to one (1) share of common stock for each one (1) RSU.

2. Includes 44,812 RSUs.

3. On March 2, 2021, the Compensation Committee of the Issuer determined the achievement of certain performance criteria, based on the Issuer's TSR relative to the S&P 500 Index, and the number of RSUs that will vest with respect to the first of four performance periods pursuant to the terms of a PSU award granted on January 15, 2019 under the Avago Technologies Limited 2009 Equity Incentive Award Plan ("2009 Avago Plan"). The RSUs are subject to time-based vesting conditions and will vest in full on March 15, 2021, subject to the Reporting Person's continued service through such date. Upon vesting thereof, the Reporting Person is entitled to one (1) share of common stock for each one (1) RSU.

4. Includes 46,374 RSUs.

5. On March 2, 2021, the Compensation Committee of the Issuer determined the achievement of certain performance criteria, based on the Issuer's TSR relative to the S&P 500 Index, and the number of RSUs that will vest with respect to the second of four performance periods pursuant to the terms of a PSU award granted on January 15, 2019 under the 2009 Avago Plan. The RSUs are subject to time-based vesting conditions and will vest in full on March 15, 2021, subject to the Reporting Person's continued service through such date. Upon vesting thereof, the Reporting Person is entitled to one (1) share of common stock for each one (1) RSU.

6. Includes 47,936 RSUs.

7. On March 2, 2021, the Compensation Committee of the Issuer determined the achievement of certain performance criteria, based on the Issuer's TSR relative to the S&P 500 Index, and the number of RSUs that will vest with respect to the third of four performance periods pursuant to the terms of a PSU award granted on March 15, 2018 under the 2009 Avago Plan. The RSUs are subject to time-based vesting conditions and will vest in full on March 15, 2021, subject to the Reporting Person's continued service through such date. Upon vesting thereof, the Reporting Person is entitled to one (1) share of common stock for each one (1) RSU.

8. Includes 49,186 RSUs.

## Remarks:

/s/ Noelle Matteson, Attorney-in-Fact for Kirsten M. Spears 03/04/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.