FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL 37

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

or Section 30(h) of the Investment Company Act of 1940

defense conditions of Rule 10b5-1(c). See Instruction 10. 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person* (Check all applicable) Broadcom Inc. [AVGO] HARTENSTEIN EDDY W Director 10% Owner Officer (give title Other (specify 3. Date of Earliest Transaction (Month/Day/Year) below) (Last) below) (First) (Middle) 01/08/2025 C/O BROADCOM INC 3421 HILLVIEW AVENUE 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) 1 Form filed by One Reporting Person PALO ALTO 94304 CA Form filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 2. Transaction 1. Title of Security (Instr. 3) 2A. Deemed 5. Amount of 6. Ownership 7. Nature of Date (Month/Day/Year) Transaction Form: Direct (D) or Indirect (I) **Execution Date**, Securities Beneficially Indirect Beneficial if any (Month/Day/Year) Code (Instr 8) Owned Ownership Following (Instr. 4) (Instr. 4) Reported (A) or (D) Transaction(s) (Instr. 3 and 4) Code ν Price Amount See Common Stock, \$0.001 par value 01/08/2025 4.000 \$229.637(1) 46.400(2) S I D Footnote(3) Common Stock, \$0.001 par value 1,890(4) D Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 2. Conversion 3. Transaction Date 10. Ownership 1. Title of 3A. Deemed 6. Date Exercisable and 7. Title and 9. Number of 11. Nature Execution Date Transaction Derivative **Expiration Date** Amount of Derivative derivative of Indirect Security (Instr. 3) if any (Month/Day/Year) Security (Instr. 5) or Exercise (Month/Day/Year Code (Instr. Derivative (Month/Day/Year) Securities Securities Form: Reneficial Beneficially Direct (D) Price of Underlying Securities Ownership Acquired (A) or Disposed Derivative Derivative Owned or Indirect (I) (Instr. 4) (Instr. 4) Security Security (Instr. 3 and 4) Following Reported of (D) (Instr. 3, 4 Transaction(s) (Instr. 4)

Explanation of Responses:

1. Transaction executed in multiple trades at prices ranging from \$229.50 to \$229.91 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within

Date

Exercisable

- 2. Includes 3,970 shares transferred to the Hartenstein family trust from the Reporting Person for no consideration and adjusted to reflect a ten-for-one forward split of the Issuer's common stock effective after market close on July 12, 2024.
- 3. Shares held by the Hartenstein family trust, for which the Reporting Person serves as trustee.
- 4. Represents 1,890 restricted stock units and adjusted to reflect a ten-for-one forward split of the Issuer's common stock effective after market close on July 12, 2024.

Code

and 5)

(A) (D)

Remarks:

/s/ Noelle Matteson, Attorneyin-Fact for Eddy W. 01/10/2025 Hartenstein

** Signature of Reporting Person Date

Amount Numbe

Shares

Expiration

Title

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.