SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>DAVIDSON JAMES</u>	2. Date of Event Requiring Stater (Month/Day/Yea	ment	3. Issuer Name and Ticker or Tra <u>Avago Technologies L</u>)]		
(Last) (First) (Middle) C/O SILVER LAKE PARTNERS 2775 SAND HILL ROAD, SUITE 100	08/05/2009			10% Owne	er (Mo	Amendment, D hth/Day/Year)	ate of Original Filed
(Street) MENLO PARK CA 94025			Officer (give title below)	Other (spe below)	· [0.11	icable Line) Form filed I	tt/Group Filing (Check by One Reporting Person by More than One Person
(City) (State) (Zip)							
	Table I - Noi	n-Derivat	tive Securities Beneficial	ly Owned			
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownersh Form: Direc or Indirect ((Instr. 5)	t (D) (Instr		t Beneficial Ownership
Ordinary Shares, no par value			78,510,144	I	and S		i Investments S.? r.l. artners II Cayman,
Ordinary Shares, no par value			223,194	I	and S		i Investments S.? r.l. ² echnology Investors II ⁴⁾⁽⁶⁾⁽¹⁰⁾
Ordinary Shares, no par value			12,123,637	I	and A	Avago Invest	i Investments S.? r.l. ment Partners, ip ⁽¹⁾⁽²⁾⁽⁵⁾⁽⁶⁾⁽¹⁰⁾
			e Securities Beneficially ants, options, convertible		5)		
Exp		cisable and ate Year)	I 3. Title and Amount of Secur Underlying Derivative Securi		4. Conversion or Exercise Price of	rcise Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	n Title	Amount or Number of Shares	Derivative Security	or Indirect (I) (Instr. 5)	
Stock Options (right to buy) ⁽⁷⁾⁽¹⁰⁾	(7)	04/13/2011	1 Ordinary Shares	50,000	5	D	
Stock Options (right to buy) ⁽⁸⁾⁽¹⁰⁾	(8)	04/13/2011	1 Ordinary Shares	50,000	5	D	
Stock Options (right to buy) ⁽⁹⁾⁽¹⁰⁾	(9)	04/13/2011	1 Ordinary Shares	50,000	5	D	
1. Name and Address of Reporting Person [*] DAVIDSON JAMES							
(Last) (First) C/O SILVER LAKE PARTNERS 2775 SAND HILL ROAD, SUITE 100	(Middle)						
(Street) MENLO PARK CA	94025						
(City) (State)	(Zip)						
1. Name and Address of Reporting Person* SILVER LAKE OFFSHORE AIV	/ GP II LTD						
(Last) (First) C/O SILVER LAKE PARTNERS 2775 SAND HILL ROAD, SUITE 100	(Middle)						
(Street)							

MENLO PARK	CA	94025
(City)	(State)	(Zip)
1. Name and Address of SILVER LAKE II CAYMAN L	TECHNOLOGY	<u> ASSOCIATES</u>
(Last) C/O SILVER LAK 2775 SAND HILL	(First) E PARTNERS ROAD, SUITE 100	(Middle)
(Street) MENLO PARK	CA	94025
(City)	(State)	(Zip)
1. Name and Address of SILVER LAKE	of Reporting Person [*]	CAYMAN LP
(Last) C/O SILVER LAK 2775 SAND HILL	(First) E PARTNERS ROAD, SUITE 100	(Middle)
(Street) MENLO PARK	СА	94025
(City)	(State)	(Zip)
1. Name and Address of SILVER LAKE CAYMAN LP		INVESTORS II
(Last) C/O SILVER LAK 2775 SAND HILL	(First) E PARTNERS ROAD, SUITE 100	(Middle)
(Street) MENLO PARK	СА	94025
(City)	(State)	(Zip)
1. Name and Address of	of Reporting Person*	
<u>Hao Kenneth</u>		
(Last) C/O SILVER LAK		(Middle)
(Last) C/O SILVER LAK		(Middle) 94025
(Last) C/O SILVER LAK 2775 SAND HILL (Street) MENLO PARK	E PARTNERS ROAD, SUITE 100 CA	94025
(Last) C/O SILVER LAK 2775 SAND HILL (Street)	E PARTNERS ROAD, SUITE 100 CA (State) of Reporting Person*	
(Last) C/O SILVER LAK 2775 SAND HILL (Street) MENLO PARK (City) 1. Name and Address of JOYCE JOHN (Last) C/O SILVER LAK	E PARTNERS ROAD, SUITE 100 CA (State) of Reporting Person* R (First)	94025
(Last) C/O SILVER LAK 2775 SAND HILL (Street) MENLO PARK (City) 1. Name and Address of JOYCE JOHN (Last) C/O SILVER LAK	E PARTNERS ROAD, SUITE 100 CA (State) of Reporting Person* R (First) E PARTNERS ROAD, SUITE 100	94025 (Zip)
(Last) C/O SILVER LAK 2775 SAND HILL (Street) MENLO PARK (City) 1. Name and Address of JOYCE JOHN (Last) C/O SILVER LAK 2775 SAND HILL (Street)	E PARTNERS ROAD, SUITE 100 CA (State) of Reporting Person* R (First) E PARTNERS ROAD, SUITE 100	94025 (Zip) (Middle)

Explanation of Responses:

1. Bali Investments S.? r.l. ("Bali") holds 172,676,402 of the ordinary shares of Avago Technologies Limited ("Avago"). The shareholders of Bali include overseas investment funds affiliated with Kohlberg Kravis Roberts & Co. L.P. ("KKR") and funds affiliated with Silver Lake Partners ("Silver Lake"). Each such person may be deemed to be a member of a group with respect to the ordinary shares of Avago held by Bali. However, each such person disclaims membership in any such group and disclaims beneficial ownership of these securities, except to the extent of its pecuniary interest therein.

2. Bali, certain affiliates of KKR, certain affiliates of Silver Lake, Seletar Investments Pte Ltd and Geyser Investment Pte Ltd are parties to a shareholders agreement with respect to the ordinary shares of Avago, and solely as a result of which each such person may be deemed to be a member of a group with respect to the ordinary shares of Avago directly or indirectly owned by such persons. However, each such person

disclaims membership in any such group and disclaims beneficial ownership of these securities, except to the extent of its pecuniary interest therein. Certain affiliates of Silver Lake collectively have the right under the shareholders agreement to nominate up to three directors of Avago.

3. Silver Lake Partners II Cayman, L.P. ("Partners II"), as a shareholder of Bali, may be deemed to indirectly own 78,510,144 ordinary shares of Avago. As the sole general partner of Partners II, Silver Lake Technology Associates II Cayman, L.P. may be deemed to share voting and dispositive power with respect to the ordinary shares of Avago indirectly owned by Partners II. As the sole general partner of Silver Lake Technology Associates II Cayman, L.P., Silver Lake (Offshore) AIV GP II, Ltd. may be deemed to share voting and dispositive power with respect to the ordinary shares of Avago indirectly owned by Partners II. Silver Lake Technology Associates II Cayman, L.P., Silver Lake (Offshore) AIV GP II, Ltd. disclaim beneficial ownership of such securities, except to the extent of their pecuniary interest.

4. Silver Lake Technology Investors II Cayman, L.P. ("Investors II"), as a shareholder of Bali, may be deemed to indirectly own 223,194 ordinary shares of Avago. As the sole general partner of Investors II, Silver Lake (Offshore) AIV GP II, Ltd. may be deemed to share voting and dispositive power with respect to the ordinary shares of Avago indirectly owned by Investors II. Silver Lake (Offshore) AIV GP II, Ltd. disclaims beneficial ownership of such securities, except to the extent of its pecuniary interest.

5. Avago Investment Partners, Limited Partnership ("AIP"), as a shareholder of Bali, may be deemed to indirectly own 12,123,637 ordinary shares of Avago. As the sole general partner of AIP, Avago Investment G.P., Limited may be deemed to share voting and dispositive power with respect to the ordinary shares of Avago indirectly owned by AIP. As a member of Avago Investment G.P., Limited, Silver Lake (Offshore) AIV GP II, Ltd. may be deemed to share voting and dispositive power with respect to the ordinary shares of Avago indirectly owned by AIP. Avago Investment G.P., Limited and Silver Lake (Offshore) AIV GP II, Ltd. disclaim beneficial ownership of such securities, except to the extent of their pecuniary interest.

6. Messrs. James A. Davidson, Kenneth Y. Hao and John R. Joyce, each of whom serves as a director of Avago, also serve as directors of Silver Lake (Offshore) AIV GP II, Ltd. and may be deemed to share voting and dispositive power with respect to any shares beneficially owned by Silver Lake (Offshore) AIV GP II, Ltd. but disclaim beneficial ownership of such shares, except to the extent of his pecuniary interest therein.

7. The options reported are held solely by Mr. Davidson. Options vest with respect to 20% of the shares subject thereto on each anniversary of December 1, 2005, such that the option will be fully vested and exercisable on December 1, 2010.

8. The options reported are held solely by Mr. Hao. Options vest with respect to 20% of the shares subject thereto on each anniversary of December 1, 2005, such that the option will be fully vested and exercisable on December 1, 2010.

9. The options reported are held solely by Mr. Joyce. Options vest with respect to 20% of the shares subject thereto on each anniversary of December 1, 2005, such that the option will be fully vested and exercisable on December 1, 2010.

10. The filing of this statement shall not be deemed an admission that, for purposes of Section 16 of the Securities Exchange Act of 1934 or otherwise, any of the Reporting Persons is the beneficial owner of all such equity securities covered by this statement.

See signatures of Reporting Persons attached as Exhibit 99.1

08/05/2009

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Joint Filer Information

Date of Event Requiring Statement: August 5, 2009 Issuer Name and Ticker or Trading Symbol: Avago Technologies Limited (AVGO) Designated Filer: James A. Davidson Other Joint Filers: Silver Lake (Offshore) AIV GP II, Ltd. Silver Lake Technology Associates II Cayman, L.P. Silver Lake Partners II Cayman, L.P. Silver Lake Technology Investors II Cayman, L.P. Kenneth Y. Hao John R. Joyce The principal business address of the Joint Filers above is Addresses: c/o Silver Lake Partners, 2775 Sand Hill Road, Suite 100, Menlo Park, CA 94025 Signatures: Silver Lake (Offshore) AIV GP II, Ltd. By: /s/ Karen King ------Karen King, as attorney-in-fact for Kenneth Y. Hao, Director Silver Lake Technology Associates II Cayman, L.P. By: Silver Lake (Offshore) AIV GP II, Ltd., its general partner By: /s/ Karen King Karen King, as attorney-in-fact for Kenneth Y. Hao, Director Silver Lake Partners II Cayman, L.P. By: Silver Lake Technology Associates II Cayman, L.P., its general partner By: Silver Lake (Offshore) AIV GP II, Ltd., its general partner By: /s/ Karen King Karen King, as attorney-in-fact for Kenneth Y. Hao, Director Silver Lake Technology Investors II Cayman, L.P. By: Silver Lake (Offshore) AIV GP II, Ltd., its general partner By: /s/ Karen King Karen King, as attorney-in-fact for Kenneth Y. Hao, Director /s/ Karen King Karen King, as attorney-in-fact for James A. Davidson /s/ Karen King Karen King, as attorney-in-fact for Kenneth Y. Hao /s/ Karen King Karen King, as attorney-in-fact for John R. Joyce