

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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| | | |
|--|---|---|
| 1. Name and Address of Reporting Person * <u>TAN HOCK E</u> (Last) (First) (Middle) <u>C/O BROADCOM INC.</u> <u>1320 RIDDER PARK DRIVE</u> (Street) <u>SAN JOSE</u> <u>CA</u> <u>95131</u> (City) (State) (Zip) | 2. Issuer Name and Ticker or Trading Symbol <u>Broadcom Inc. [AVGO]</u> 3. Date of Earliest Transaction (Month/Day/Year) <u>01/15/2020</u> 4. If Amendment, Date of Original Filed (Month/Day/Year) | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>President and CEO</u> 6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person |
|--|---|---|

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|--------------------------|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock, \$0.001 par value | 01/15/2020 | | M ⁽¹⁾ | | 73,646 | A | \$38.99 | 243,688 ⁽²⁾ | D | |
| Common Stock, \$0.001 par value | 01/15/2020 | | s ⁽¹⁾ | | 7,871 | D | \$301.486 ⁽³⁾ | 235,817 ⁽²⁾ | D | |
| Common Stock, \$0.001 par value | 01/15/2020 | | s ⁽¹⁾ | | 26,960 | D | \$302.234 ⁽⁴⁾ | 208,857 ⁽²⁾ | D | |
| Common Stock, \$0.001 par value | 01/15/2020 | | s ⁽¹⁾ | | 14,884 | D | \$303.342 ⁽⁵⁾ | 193,973 ⁽²⁾ | D | |
| Common Stock, \$0.001 par value | 01/15/2020 | | s ⁽¹⁾ | | 9,314 | D | \$304.025 ⁽⁶⁾ | 184,659 ⁽²⁾ | D | |
| Common Stock, \$0.001 par value | 01/15/2020 | | s ⁽¹⁾ | | 7,244 | D | \$305.423 ⁽⁷⁾ | 177,415 ⁽²⁾ | D | |
| Common Stock, \$0.001 par value | 01/15/2020 | | s ⁽¹⁾ | | 3,895 | D | \$306.202 ⁽⁸⁾ | 173,520 ⁽²⁾ | D | |
| Common Stock, \$0.001 par value | 01/15/2020 | | s ⁽¹⁾ | | 3,478 | D | \$307.235 ⁽⁹⁾ | 170,042 ⁽²⁾ | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|--|-----------------|---|--|--|---|--|
| | | | | Code | V | | Date Exercisable | Expiration Date | | | | | |
| Stock Option (Right to Buy) | \$38.99 | 01/15/2020 | | M ⁽¹⁾ | | 73,646 | (10) | 09/21/2020 | Common Stock, \$0.001 par value | 73,646 | \$0 | 1,040,520 | D |

Explanation of Responses:

1. Transaction made pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
2. Includes 1,502 Restricted Stock Units.
3. Transaction executed in multiple trades at prices ranging from \$300.75 to \$301.74 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
4. Transaction executed in multiple trades at prices ranging from \$301.75 to \$302.74 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
5. Transaction executed in multiple trades at prices ranging from \$302.75 to \$303.74 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
6. Transaction executed in multiple trades at prices ranging from \$303.75 to \$304.37 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
7. Transaction executed in multiple trades at prices ranging from \$304.80 to \$305.79 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
8. Transaction executed in multiple trades at prices ranging from \$305.85 to \$306.80 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
9. Transaction executed in multiple trades at prices ranging from \$306.94 to \$307.61 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

10. Share price performance option granted pursuant to the Avago Technologies Limited 2009 Equity Incentive Award Plan. This option is fully vested and the exercisability requirements for this option have been met.

Remarks:

/s/ Noelle Matteson, Attorney-
in-Fact for Hock E. Tan 01/17/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.