SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL 3235-0287

OMB Number: Estimated average burden hours per response: 0.5

1. Name and Address of Reporting Person [*] Kawwas Charlie B			2. Issuer Name and Ticker or Trading Symbol <u>Broadcom Ltd</u> [AVGO]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner
	(First)		3. Date of Earliest Transaction (Month/Day/Year) 03/14/2016	X Officer (give title Other (specify below) below) SVP & Chief Sales Officer
1320 RIDDEF	R PARK DRIVE	2	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable
(Street) SAN JOSE	CA	95131		Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person
(City)	(State)	(Zip)		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Ordinary Shares, no par value	03/14/2016		М		10,000	A	\$71.86	94,926 ⁽¹⁾	D	
Ordinary Shares, no par value	03/14/2016		М		3,879	A	\$48.18	98,805(1)	D	
Ordinary Shares, no par value	03/14/2016		М		3,669	A	\$38.92	102,474 ⁽¹⁾	D	
Ordinary Shares, no par value	03/14/2016		М		2,832	A	\$62.63	105,306(1)	D	
Ordinary Shares, no par value	03/14/2016		S		28,455	D	\$147.68(2)	76,851 ⁽¹⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$71.86	03/14/2016		М			10,000	(3)	06/09/2021	Ordinary Shares, no par value	10,000	\$0	90,000	D	
Stock Option (Right to Buy)	\$48.18	03/14/2016		М			3,879	(4)	03/01/2019	Ordinary Shares, no par value	3,879	\$0	0	D	
Stock Option (Right to Buy)	\$38.92	03/14/2016		М			3,669	(5)	03/01/2020	Ordinary Shares, no par value	3,669	\$0	3,668	D	
Stock Option (Right to Buy)	\$62.63	03/14/2016		М			2,832	(6)	03/01/2021	Ordinary Shares, no par value	2,832	\$0	5,662	D	

Explanation of Responses:

1. Includes 76,851 Restricted Share Units.

2. Transaction executed in multiple trades at prices ranging from \$147.24 to \$147.97 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

3. Share price performance option (the "Option") granted pursuant to the Avago Technologies Limited 2009 Equity Incentive Award Plan. The Option vests over four years, with 25% vesting on each anniversary of the date of grant, such that the Option will be fully vested on June 10, 2018. The exercisability requirements of the Option have been met.

4. The option is fully vested and exercisable.

5. The option vests over 3 years, with one-third vesting on March 1 of each of 2015, 2016, 2017, subject to the Reporting Person's continuing service on each vesting date.

6. The option vests over 4 years, with one-fourth vesting on March 1 of each of 2015, 2016, 2017, 2018, subject to the Reporting Person's continuing service on each vesting date.

Remarks:

/s/ Patricia McCall, Attorneyin-Fact for Charlie B Kawwas ** Signature of Reporting Person



Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.