SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

		or Secti	011 30(11) 01	the Investment Company Act of 1	.940					
Requiring S		Date of Event equiring Statement Month/Day/Year) 2/01/2016		3. Issuer Name and Ticker or Trading Symbol <u>Broadcom Ltd</u> [AVGO]						
(Last) (First) (Middle) C/O SILVER LAKE PARTNERS,		-		4. Relationship of Reporting Pers (Check all applicable) X Director	10% Owne	er	5. If Amendment, Date of Original Filed (Month/Day/Year)		ate of Original Filed	
2775 SAND HILL ROAD, SUITE 100				Officer (give title below)	Other (spe below)	cify		dividual or Joint icable Line)	/Group Filing (Check	
(Street) MENLO PARK CA 94025							x	Eorm filod b	y One Reporting Person y More than One erson	
(City) (State) (Zip)										
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)				. Amount of Securities eneficially Owned (Instr. 4)	3. Ownersh Form: Direct or Indirect (Instr. 5)	ct (D)	4. Nat (Instr.		Beneficial Ownership	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)		2. Date Exercisable and Expiration Date (Month/Day/Year)		-	rities	4. Conversion or Exercise		5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
		Date Exercisable	Expiratior Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)			
1. Name and Address of Reporting Person [*]			1	1					1	
Silver Lake (Offshore) AIV GP IV	, <u>Ltd.</u>									
C/O SILVER LAKE PARTNERS, 2775 SAND HILL ROAD, SUITE 100 (Street)	Middle)									
(City) (State) (2	Zip)									
1. Name and Address of Reporting Person [*] Silver Lake Technology Associates L.P.	s IV C	C <u>ayman</u> ,								
(Last) (First) (I C/O SILVER LAKE PARTNERS 2775 SAND HILL ROAD, SUITE 100	Middle)									
(Street) MENLO PARK CA 9	4025									
(City) (State) (A	Zip)									
1. Name and Address of Reporting Person [*] Silver Lake Partners IV Cayman (A	AIV I	<u>I), L.P.</u>								
(Last) (First) (1 C/O SILVER LAKE PARTNERS 2775 SAND HILL ROAD, SUITE 100	Middle)									
(Street)										

MENLO PARK	CA	94025					
(City)	(State)	(Zip)					
1. Name and Address of Reporting Person [*] Silver Lake Technology Investors IV Cayman, L.P.							
(Last) C/O SILVER LAK 2775 SAND HILL	(First) E PARTNERS ROAD, SUITE 100	(Middle)					
(Street) MENLO PARK	CA	94025					
(City)	(State)	(Zip)					
1. Name and Address of Reporting Person [*] SLP Argo I Ltd.							
(Last) C/O SILVER LAK 2775 SAND HILL	(First) E PARTNERS ROAD, SUITE 100	(Middle)					
(Street) MENLO PARK	CA	94025					
(City)	(State)	(Zip)					
1. Name and Address of <u>SLP Argo II Lto</u>							
(Last) C/O SILVER LAK 2775 SAND HILL	(First) E PARTNERS ROAD, SUITE 100	(Middle)					
(Street) MENLO PARK	СА	94025					
(City)	(State)	(Zip)					
1. Name and Address of <u>Hao Kenneth</u>	f Reporting Person [*]						
(Last) C/O SILVER LAK 2775 SAND HILL	(First) E PARTNERS ROAD, SUITE 100	(Middle)					
(Street) MENLO PARK	СА	94025					
(City)	(State)	(Zip)					

Explanation of Responses:

Remarks:

This Form 3 is filed on behalf of SLP Argo I Ltd. ("Argo I"), SLP Argo II Ltd. ("Argo II"), Silver Lake Partners IV Cayman (AIV II), L.P. ("AIV II"), Silver Lake Technology Investors IV Cayman, L.P. ("SLTI IV"), Silver Lake Technology Associates IV Cayman, L.P. ("SLTA IV Cayman"), Silver Lake (Offshore) AIV GP IV, Ltd. ("AIV GP IV") and Kenneth Y. Hao. AIV II is the sole shareholder of Argo I. SLTA IV Cayman is the sole general partner of AIV II and SLTI IV, and AIV GP IV is the sole general partner of SLTA IV Cayman. Each of AIV GP IV, SLTA IV Cayman, SLTI IV, AIV II, Argo I and Argo II may be deemed to be a director by deputization of Broadcom Limited (the "Issuer"). Mr. Hao is a director of the Issuer. The Reporting Persons are jointly filing this Form 3 pursuant to Rule 16a-3(j) under the Exchange Act. Exhibit 24 - Power of Attorney

No securities are beneficially owned.

/s/ Karen M. King, Director of Silver Lake (Offshore) AIV GP 02/01/2016 IV Ltd. /s/ Karen M. King, Director of Silver Lake (Offshore) AIV GP IV Ltd., General Partner of Silver Lake Technology Associates IV Cayman, L.P. /s/ Karen M. King, Director of Silver Lake (Offshore) AIV GP IV Ltd., General Partner of

<u>Silver Lake Technology</u>	
<u>Associates IV Cayman, L.P.,</u>	
General Partner of Silver Lake	
Partners IV Cayman (AIV II),	
<u>L.P.</u>	
<u>/s/ Karen M. King, Director of</u>	
Silver Lake (Offshore) AIV GP	
IV Ltd., General Partner of	
<u>Silver Lake Technology</u>	02/01/2016
<u>Associates IV Cayman, L.P.,</u>	02/01/2010
General Partner of Silver Lake	
Technology Investors IV	
<u>Cayman, L.P.</u>	
<u>/s/ Karen M. King, General</u>	02/01/2010
Counsel of SLP Argo I Ltd.	02/01/2016
<u>/s/ Karen M. King, General</u>	02/01/2010
Counsel of SLP Argo II Ltd.	02/01/2016
<u>/s/ Kenneth Y. Hao, by Karen</u>	
<u>M. King, Attorney-in-fact for</u>	02/01/2016
<u>Kenneth Y. Hao</u>	
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Power of Attorney

Know all by these presents that Kenneth Y. Hao, does hereby make, constitute and appoint each of Karen M. King and Andrew J. Schader or either one of them, as a true and lawful attorney-in-fact of the undersigned with full powers of substitution and revocation, for and in the name, place and stead of the undersigned (both in the undersigned's individual capacity and as a manager or member of any limited liability company, as a partner of any partnership, as an officer of any corporate or other entity, or in the undersigned's capacity in a position similar to the foregoing at any entity, in each case, for which the undersigned is otherwise authorized to sign), to execute and deliver such forms that the undersigned may be required to file with the U.S. Securities and Exchange Commission as a result of the undersigned's ownership of or transactions in securities of Broadcom Limited (i) pursuant to Section 16(a) of the Securities Exchange Act of 1934, as amended, including without limitation, statements on Form 3, Form 4 and Form 5 (including any amendments thereto) and (ii) in connection with any applications for EDGAR access codes, including without limitation the Form ID. The Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with regard to ownership or transactions in securities of Broadcom Limited, unless earlier revoked in writing. The undersigned acknowledges that Karen M. King and Andrew J. Schader are not assuming any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

> By: /s/ Kenneth Y. Hao Kenneth Y. Hao

Date: February 1, 2016