SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

				the investment company Act of 1					
1. Name and Address of Reporting Person <sup>*</sup> Silver Lake Group, L.L.C.	R (1	Date of Event equiring Statem Month/Day/Year 4/13/2018	ient	3. Issuer Name <b>and</b> Ticker or Tra <u>Broadcom Inc.</u> [ AVGO					
(Last) (First) (Middle) C/O SILVER LAKE			ŀ	4. Relationship of Reporting Pers (Check all applicable) X Director	on(s) to Issue			Amendment, Da hth/Day/Year)	ate of Original Filed
2775 SAND HILL ROAD, SUITE 100				Officer (give title	Other (spe		6. In	dividual or Joint	/Group Filing (Check
(Street) MENLO CA 94025 PARK				below)	below)		Appl	icable Line) Form filed b	y One Reporting Person y More than One
(City) (State) (Zip)									
	Та	able I - Non		ive Securities Beneficial	1				
1. Title of Security (Instr. 4)				. Amount of Securities eneficially Owned (Instr. 4)	3. Ownersh Form: Direct or Indirect (Instr. 5)	ct (D)	4. Nat (Instr.		Beneficial Ownership
	(e.g	Table II - D J., puts, call	erivative s, warra	e Securities Beneficially nts, options, convertible	Owned e securitie	s)			
1. Title of Derivative Security (Instr. 4)		2. Date Exercisable a Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of		5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
					Amount or	Deriva	tive	or Indirect (I) (Instr. 5)	
		Date Exercisable	Expiratior Date	Title	Number of Shares				
1. Name and Address of Reporting Person <sup>*</sup> Silver Lake Group, L.L.C.									
(Last) (First) C/O SILVER LAKE 2775 SAND HILL ROAD, SUITE 100	(Middle)								
(Street) MENLO PARK CA	94025								
(City) (State)	(Zip)								
1. Name and Address of Reporting Person <sup>*</sup> <u>SLTA V (GP), L.L.C.</u>									
(Last) (First) C/O SILVER LAKE	(Middle)								
2775 SAND HILL ROAD, SUITE 100									
(Street) MENLO PARK CA	94025								
(City) (State)	(Zip)								
1. Name and Address of Reporting Person <sup>*</sup> Silver Lake Technology Associa	<u>tes V, L</u>	. <u>.P.</u>							
(Last) (First) C/O SILVER LAKE 2775 SAND HILL ROAD, SUITE 100	(Middle)								
(Street) MENLO PARK CA	94025								

(City)	(State)	(Zip)				
	me and Address of Reporting Person <sup>*</sup> ver Lake Partners V DE (AIV), L.P.					
(Last) C/O SILVER LAK	(First) E	(Middle)				
2775 SAND HILL	ROAD, SUITE 100					
(Street) MENLO PARK	CA	94025				
(City)	(State)	(Zip)				
1. Name and Address of Reporting Person* Silver Lake Technology Investors V, L.P.						
(Last) C/O SILVER LAK 2775 SAND HILL	(First) E ROAD, SUITE 100	(Middle)				
(Street) MENLO PARK	СА	94025				
(City)	(State)	(Zip)				

## Explanation of Responses:

## Remarks:

This Form 3 is filed on behalf of Silver Lake Partners V DE (AIV), L.P. ("SLP V"), Silver Lake Technology Investors V, L.P. ("SLTI V"), Silver Lake Technology Associates V, L.P. ("SLTA V"), SLTA V (GP), L.L.C. ("SLTA V GP"), and Silver Lake Group, L.L.C. ("SLG"). SLTA V is the general partner of each of SLP V and SLTI V. SLTA V GP is the general partner of SLTA V. SLG is the managing member of SLTA V GP. Mr. Kenneth Hao, a Managing Director of SLG, serves as a member of the board of directors of Broadcom Inc. (the "Issuer"). Due to Mr. Hao's role at Silver Lake, it is possible that any or all of SLG, SLTA V GP, SLTA V, SLTI V and SLP V may be deemed to be a director by deputization of the Issuer in connection with the purchases of securities being separately reported on a Form 4. The Reporting Persons are jointly filing this Form 3 pursuant to Rule 16a-3(j) under the Securities Exchange Act of 1934, as amended (the "Exchange Act"). This filing shall not be deemed an admission that any of the Reporting Persons is subject to Section 16 of the Exchange Act. Mr. Hao and certain affiliates of the Reporting Persons have separately reported their beneficial ownership of securities of the Issuer (or its predecessor, Broadcom Ltd.) for purposes of Section 16 of the Exchange Act.

No securities are beneficially owned.

<u>By: /s/ Karen M. King; Karen</u> <u>M. King, Managing Director</u> <u>and Chief Legal Officer of</u> <u>Silver Lake Group, L.L.C.</u>	<u>04/17/2018</u>
By: /s/ Karen M. King; Karen M. King, Managing Director and Chief Legal Officer of Silver Lake Group, L.L.C., managing member of SLTA V (GP), L.L.C.	<u>04/17/2018</u>
By: /s/ Karen M. King; Karen M. King, Managing Director and Chief Legal Officer of Silver Lake Group L.L.C., managing member of SLTA V (GP) L.L.C., general partner of Silver Lake Technology Associates V, L.P.	<u>04/17/2018</u>
By: /s/ Karen M. King; Karen M. King, Managing Director and Chief Legal Officer of Silver Lake Group, L.L.C., managing member of SLTA IV (GP) L.L.C., GP of Silver Lake Technology Associates V, L.P., GP of Silver Lake Partners V DE (AIV), L.P.	<u>04/17/2018</u>
By: /s/ Karen M. King; Karen M. King, Managing Director and Chief Legal Officer of Silver Lake Group, L.L.C., managing member of SLTA V (GP) L.L.C., GP of Silver Lake Technology Associates IV, L.P., GP of Silver Lake Technology Investors V, L.P.	<u>04/17/2018</u>
** Signature of Reporting Person	Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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