

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | |
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | | |
|--|--|---|
| 1. Name and Address of Reporting Person* <u>HARTENSTEIN EDDY W</u> (Last) (First) (Middle) C/O BROADCOM INC. 1320 RIDDER PARK DRIVE (Street) SAN JOSE CA 95131 (City) (State) (Zip) | 2. Issuer Name and Ticker or Trading Symbol <u>Broadcom Inc. [AVGO]</u> | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10% Owner Officer (give title below) Other (specify below) |
| | 3. Date of Earliest Transaction (Month/Day/Year) 04/20/2021 | |
| | 4. If Amendment, Date of Original Filed (Month/Day/Year) | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|---------------------------|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock, \$0.001 par value | 04/20/2021 | | S ⁽¹⁾ | | 134 | D | \$455.627 ⁽²⁾ | 4,582 ⁽³⁾ | D | |
| Common Stock, \$0.001 par value | 04/20/2021 | | S ⁽¹⁾ | | 139 | D | \$456.782 ⁽⁴⁾ | 4,443 ⁽³⁾ | D | |
| Common Stock, \$0.001 par value | 04/20/2021 | | S ⁽¹⁾ | | 43 | D | \$457.45 ⁽⁵⁾ | 4,400 ⁽³⁾ | D | |
| Common Stock, \$0.001 par value | 04/20/2021 | | S ⁽¹⁾ | | 123 | D | \$458.796 ⁽⁶⁾ | 4,277 ⁽³⁾ | D | |
| Common Stock, \$0.001 par value | 04/20/2021 | | S ⁽¹⁾ | | 91 | D | \$460.775 ⁽⁷⁾ | 4,186 ⁽³⁾ | D | |
| Common Stock, \$0.001 par value | 04/21/2021 | | S ⁽¹⁾ | | 65 | D | \$453.965 ⁽⁸⁾ | 4,121 ⁽³⁾ | D | |
| Common Stock, \$0.001 par value | 04/21/2021 | | S ⁽¹⁾ | | 124 | D | \$454.702 ⁽⁹⁾ | 3,997 ⁽³⁾ | D | |
| Common Stock, \$0.001 par value | 04/21/2021 | | S ⁽¹⁾ | | 211 | D | \$456.466 ⁽¹⁰⁾ | 3,786 ⁽³⁾ | D | |
| Common Stock, \$0.001 par value | 04/21/2021 | | S ⁽¹⁾ | | 77 | D | \$457.343 ⁽¹¹⁾ | 3,709 ⁽³⁾ | D | |
| Common Stock, \$0.001 par value | 04/21/2021 | | S ⁽¹⁾ | | 32 | D | \$458.578 ⁽¹²⁾ | 3,677 ⁽³⁾ | D | |
| Common Stock, \$0.001 par value | 04/21/2021 | | S ⁽¹⁾ | | 21 | D | \$459.363 ⁽¹³⁾ | 3,656 ⁽³⁾ | D | |
| Common Stock, \$0.001 par value | | | | | | | | 22,892 | I | See Footnote ⁽¹⁴⁾ |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----|--|-----------------|---|--|--|---|--|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | | | | | |

Explanation of Responses:

- Transaction made pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- Transaction executed in multiple trades at prices ranging from \$455.10 to \$456.09 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
- Includes 476 restricted stock units.
- Transaction executed in multiple trades at prices ranging from \$456.23 to \$457.21 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
- Transaction executed in multiple trades at prices ranging from \$457.42 to \$457.52 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
- Transaction executed in multiple trades at prices ranging from \$458.62 to \$459.08 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
- Transaction executed in multiple trades at prices ranging from \$460.65 to \$460.90 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

8. Transaction executed in multiple trades at prices ranging from \$453.55 to \$454.45 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

9. Transaction executed in multiple trades at prices ranging from \$454.57 to \$454.87 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

10. Transaction executed in multiple trades at prices ranging from \$456.10 to \$457.06 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

11. Transaction executed in multiple trades at prices ranging from \$457.10 to \$458.09 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

12. Transaction executed in multiple trades at prices ranging from \$458.10 to \$459.08 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

13. Transaction executed in multiple trades at prices ranging from \$459.14 to \$459.43 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

14. Shares held by the Hartenstein family trust, for which the Reporting Person serves as trustee.

Remarks:

/s/ Noelle Matteson, Attorney-
in-Fact for Eddy W. Hartenstein 04/22/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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