

UNITED STATES
SECURITIES EXCHANGE COMMISSION
Washington, D.C. 20549

FORM SD

Specialized Disclosure Report

Broadcom Inc.

(Exact name of registrant as specified in its charter)

Delaware

(State or other jurisdiction of incorporation)

1320 Ridder Park Drive, San Jose, California

(Address of principal executive offices)

001-38449

(Commission File Number)

95131-2313

(Zip code)

Mark Brazeal

Chief Legal and Corporate Affairs Officer

(408) 433-8000

(Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed:

- Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2022.
- Rule 13q-1 under the Securities Exchange Act (17 CFR 240.13q-1) for the fiscal year ended _____.

Section 1 – Conflict Minerals Disclosure

Item 1.01 Conflict Minerals Disclosure and Report

Conflict Minerals Disclosure

A copy of the Conflict Minerals Report of Broadcom Inc. for the reporting period January 1, 2022 to December 31, 2022 is provided as Exhibit 1.01 hereto and is publicly available at <https://www.broadcom.com/company/citizenship/supplier-responsibility>.

Item 1.02 Exhibit

Broadcom Inc.'s Conflict Minerals Report for the calendar year ended December 31, 2022 is filed as Exhibit 1.01 hereto.

Section 3 – Exhibits

Item 3.01 Exhibits

<u>Exhibit No.</u>	<u>Description</u>
1.01	<u>Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form SD.</u>

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

BROADCOM INC.

By: /s/ Hock E. Tan

Name: Hock E. Tan

Title: President & Chief Executive Officer

Date: May 31, 2023

Broadcom Inc.
Conflict Minerals Report
For The Calendar Year Ended December 31, 2022

This Conflict Minerals Report (this “Report”) of Broadcom Inc. for the calendar year ended December 31, 2022 (the “Reporting Period”) is filed in accordance with Rule 13p-1 under the Securities Exchange Act of 1934, as amended (the “Rule”), and pursuant to the Company’s Specialized Disclosure Report on Form SD (“Form SD”) for the Reporting Period filed with the Securities and Exchange Commission (the “SEC”). The Rule imposes certain reporting and disclosure obligations on SEC registrants for which cassiterite, columbite-tantalite, gold, wolframite or their derivatives, which are limited to tin, tantalum and tungsten (“conflict minerals”), are necessary to the functionality or production of a product manufactured or contracted to be manufactured by the registrant.

References in this Report to “Broadcom,” the “Company,” “we” or “our” refer to Broadcom Inc. and its subsidiaries, on a consolidated basis, unless otherwise indicated or the context otherwise requires.

Company and Product Overview

Broadcom is a global technology leader that designs, develops and supplies a broad range of semiconductor and infrastructure software solutions. Broadcom has a history of innovation in the semiconductor industry and offers products that are used in end products such as enterprise and data center networking, home connectivity, set-top boxes, broadband access, telecommunication equipment, smartphones and base stations, data center servers and storage systems, factory automation, power generation and alternative energy systems, and electronic displays.

Broadcom’s infrastructure software solutions enable customers to plan, develop, automate, manage and secure applications across mainframe, distributed, mobile and cloud platforms.

Design of Conflict Minerals Program

Broadcom’s due diligence framework with regards to conflict minerals, which is summarized below, conforms to the Organization for Economic Co-operation and Development (“OECD”) Guidance for Responsible Supply Chains for Minerals from Conflict-Affected and High Risk Areas and the Supplements on Tin, Tantalum and Tungsten and on Gold.

Step 1 - Establish strong company management systems

- Adopt a Conflict Minerals Policy and make it publicly available on our website. (<https://www.broadcom.com/company/citizenship/supplier-responsibility>)
- Establish an internal conflict minerals team led by our Global Quality organization to implement our Conflict Minerals Policy, which reports program activities to executive management on a regular basis.
- Establish a public email address (conflict.minerals@broadcom.com) for general inquiries.
- Establish a grievance mechanism to enable employees, suppliers and stakeholders to report any concerns and violations.
- Maintain records relating to our conflict minerals program.
- Utilize the Conflict Minerals Reporting Template (“CMRT”), a standardized reporting template developed by the Responsible Minerals Initiative (“RMI”) to identify smelters and refiners (“SORs”) that process the necessary conflict minerals contained in our products.

Step 2 - Identify and assess risks in the supply chain

- Survey our supply chain using the CMRT, requesting identified direct suppliers to identify SORs and country of origin of the conflict minerals.
- Contact suppliers who returned the CMRT that require follow-up based on internally defined criteria.

- Compare our final SORs list against the list of facilities maintained by RMI’s Responsible Minerals Assurance Process (“RMAP”) to identify which SORs are certified “conflict-free” (“conformant”) or active.

Step 3 - Design and implement a strategy to respond to identified risks

- Devise a risk management plan to respond to identified risk in the event that Broadcom’s due diligence process identifies smelters in the supply chain to be sourcing or processing conflict minerals from the Democratic Republic of Congo or adjoining countries (together, the “DRC”), and that are not RMAP conformant.
- Perform risk mitigation efforts by encouraging suppliers to purchase materials from SORs validated as “conflict-free” by an independent auditor to demonstrate conformance with the RMAP assessment protocols and implement due diligence measures for conflict-free sourcing.
- Contact SORs who are not RMAP conformant or active, whose email contact information is available from RMI, to participate in an independent third-party audit program to demonstrate conformance with the RMAP assessment protocols.
- Provide status reports, including information on the source and chain of custody of conflict minerals in our supply chain, to our senior management regularly.

Step 4 - Independent third-party audit of SOR’s due diligence practices

- Broadcom supports the development of a range of tools and resources including the RMAP, the CMRT, Reasonable Country of Origin Inquiry (“RCOI”) data and a range of guidance documents on conflict minerals sourcing through our membership with RMI.
- As Broadcom does not source directly from conflict minerals processing facilities, we rely on RMAP to coordinate independent third-party audits on SORs.

Step 5 - Report annually on supply chain due diligence

- In accordance with the Rule, Broadcom will file a Form SD and a conflict minerals report with the SEC on an annual basis. This Report is also available on our website (<https://www.broadcom.com/company/citizenship/supplier-responsibility>).

Description of Due Diligence Measures Performed

- Sent our policy to suppliers to communicate Broadcom’s goal and expectations of them to cooperate in providing due diligence information and to encourage suppliers to purchase materials from SORs validated as “conflict-free” by an independent auditor and demonstrated conformant to RMAP assessment protocols, and requested that suppliers return a completed CMRT.
- Contacted suppliers who returned the CMRT that require follow-up based on internally defined criteria.
- Compared our final SORs list (compiled based on information received from suppliers) against the list of facilities maintained by RMAP to identify which SORs are RMAP conformant or active.
- Email contact information is available from RMI by sending RMI’s standard smelter engagement letter inviting them to commence their participation in an independent third-party audit program to demonstrate their compliance with the RMAP assessment protocols.
- Provided status reports, including information on the source and chain of custody of conflict minerals in our supply chain, to our senior management regularly and at least once a quarter.

Results of Our Due Diligence Measures

Broadcom uses tin, tantalum, tungsten and gold (“3TG”) in the design and manufacture of many of its products and is therefore a “downstream” company in the conflict minerals supply chain. Due to the nature of our supply chain, we do

not typically have a direct relationship with 3TG SORs. Our manufacturing operations employ a wide variety of semiconductors, electromechanical components and raw materials that are also supplied by other downstream companies in the supply chain. Our due diligence process involves seeking data from our relevant suppliers, and these suppliers also seek similar information from their supply chain in order to identify the sources for the necessary conflict minerals. We rely on the good faith efforts of our supply chain to provide reasonable data. We also depend largely on information collected and provided by RMI obtained through their independent third-party audit programs. We achieved a response rate of 100% for our supply chain survey.

Many of our suppliers sourced 3TG from a variety of upstream sources and provided information to Broadcom on an aggregated, company-wide level. Due to the fungible nature of these materials, we understand that these suppliers were unable to trace the 3TG that they source into the products provided to any particular customers (including Broadcom). As a result, our list of SORs may contain more facilities than are actually used in our supply chain. In addition, our list of SORs may not include all the SORs in our supply chain, as our suppliers were unable to identify the SORs of some of the conflict minerals.

We compare SORs declared by our suppliers against the list of facilities that are certified “conflict free” by the RMAP and/or London Bullion Market Association (LBMA), and we obtain countries of origin information (when available) from RMI. We have identified 341 SORs in our supply chain at some point during the 2022 reporting year, of which 91 SORs were removed from our supply chain.

As a result, as of December 31, 2022, we had 250 active SORs in our 2022 supply chain, of which 237 SORs have been validated as “conflict free” and 13 SORs are listed as “Active” as they are at various stages of the audit cycle in the RMAP. However, we were unable to ascertain the country of origin and/or chain of custody of all necessary conflict minerals because, for this reporting period, many SORs facilities had not yet received a “conflict free” designation.

As reported to Broadcom by our relevant suppliers, we have included a list of SORs determined to be legitimate processing facilities by the RMI and/or LBMA and the locations of these facilities in Tables 1, 2 and 3.

As previously noted, because of the nature of our supply chain, we do not typically have any direct relationship with 3TG SORs. Therefore, as noted above, we contributed to the improvement of SOR diligence practices by working through our supply chain and through our membership with RMI.

Ongoing Improvement Efforts

For the next reporting period, we intend to continue taking steps to further mitigate the risk that conflict minerals that are necessary to the functionality or production of our products finance or benefit armed groups in the DRC.

These steps include:

- work with relevant suppliers to update their conflict minerals reporting template using the latest CMRT and verify the identified smelters with RMI’s most current list;
- continue to refine our conflict minerals program to improve our reasonable due diligence measures in our efforts to determine the source and chain of custody of conflict minerals;
- continue to work with suppliers and others on industry-wide solutions to enable products that are DRC conflict free;
- when required by the Rule, obtain an independent private sector audit for the Report; and
- extend RCOI and due diligence measures to any of our recently acquired entities and businesses.

Tables of Our Conflict Minerals Processing Smelters or Refiners

Set forth in the tables below are lists of the 341 SORs facilities identified by our suppliers as possibly being used to process their necessary conflict minerals. The SORs status set forth in the lists below are based on information provided by RMI and/or LBMA as of January 18, 2023. Our efforts to determine the mine or location of origin of our necessary conflict minerals are set forth above in “Description of Due Diligence Measures Performed” and “Results of Our Due Diligence Measures.”

Table 1: Conformant smelters and refiners

Metal	Smelter or Refiner Name	Country Location
Gold	8853 S.p.A.	Italy
Gold	Advanced Chemical Company	United States of America
Gold	Agosi AG	Germany
Gold	Aida Chemical Industries Co., Ltd.	Japan
Gold	Al Etihad Gold Refinery DMCC	United Arab Emirates
Gold	Almalyk Mining and Metallurgical Complex (AMMC)	Uzbekistan
Gold	AngloGold Ashanti Corrego do Sitio Mineracao	Brazil
Gold	Argor-Heraeus S.A.	Switzerland
Gold	Asahi Pretec Corp.	Japan
Gold	Asahi Refining Canada Ltd.	Canada
Gold	Asahi Refining USA Inc.	United States of America
Gold	Asaka Riken Co., Ltd.	Japan
Gold	Aurubis AG	Germany
Gold	Bangalore Refinery	India
Gold	Bangko Sentral ng Pilipinas (Central Bank of the Philippines)	Philippines
Gold	Boliden AB	Sweden
Gold	C. Hafner GmbH + Co. KG	Germany
Gold	CCR Refinery - Glencore Canada Corporation	Canada
Gold	Cendres + Metaux S.A.	Switzerland
Gold	Chimet S.p.A.	Italy
Gold	Chugai Mining	Japan
Gold	Daye Non-Ferrous Metals Mining Ltd.‡	China
Gold	DODUCO Contacts and Refining GmbH	Germany
Gold	Dowa	Japan
Gold	DSC (Do Sung Corporation)	Republic of Korea
Gold	Eco-System Recycling Co., Ltd. East Plant	Japan
Gold	Eco-System Recycling Co., Ltd. North Plant	Japan
Gold	Eco-System Recycling Co., Ltd. West Plant	Japan
Gold	Emirates Gold DMCC	United Arab Emirates
Gold	Geib Refining Corporation	United States of America
Gold	Gold Refinery of Zijin Mining Group Co., Ltd.	China
Gold	Great Wall Precious Metals Co., Ltd. of CBPM	China
Gold	Heimerle + Meule GmbH	Germany
Gold	Heraeus Germany GmbH Co. KG	Germany
Gold	Heraeus Metals Hong Kong Ltd.	China

Gold	Inner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd.	China
Gold	Ishifuku Metal Industry Co., Ltd.	Japan
Gold	Istanbul Gold Refinery	Turkey
Gold	Italpreziosi	Italy
Gold	Japan Mint	Japan
Gold	Jiangxi Copper Co., Ltd.	China
Gold	JX Nippon Mining & Metals Co., Ltd.	Japan
Gold	Kazzinc	Kazakhstan
Gold	Kennecott Utah Copper LLC	United States of America
Gold	KGHM Polska Miedz Spolka Akcyjna	Poland
Gold	Kojima Chemicals Co., Ltd.	Japan
Gold	Korea Zinc Co., Ltd.	Republic of Korea
Gold	Kyrgyzalyn JSC	Kyrgystan
Gold	L'Orfebre S.A.	Andorra
Gold	LS-NIKKO Copper Inc.	Republic of Korea
Gold	LT Metal Ltd.	Republic of Korea
Gold	Materion	United States of America
Gold	Matsuda Sangyo Co., Ltd.	Japan
Gold	Metal Concentrators SA (Pty) Ltd.	South Africa
Gold	Metalor Technologies (Hong Kong) Ltd.	China
Gold	Metalor Technologies (Singapore) Pte., Ltd.	Singapore
Gold	Metalor Technologies (Suzhou) Ltd.	China
Gold	Metalor Technologies S.A.	Switzerland
Gold	Metalor USA Refining Corporation	United States of America
Gold	Metalurgica Met-Mex Penoles S.A. De C.V.	Mexico
Gold	Mitsubishi Materials Corporation	Japan
Gold	Mitsui Mining and Smelting Co., Ltd.	Japan
Gold	MKS PAMP SA	Switzerland
Gold	MMTC-PAMP India Pvt., Ltd.	India
Gold	Nadir Metal Rafineri San. Ve Tic. A.S.	Turkey
Gold	Navoi Mining and Metallurgical Combinat	Uzbekistan
Gold	NH Recytech Company	Republic of Korea
Gold	Nihon Material Co., Ltd.	Japan
Gold	Ogussa Osterreichische Gold- und Silber-Scheideanstalt GmbH	Austria
Gold	Ohura Precious Metal Industry Co., Ltd.	Japan
Gold	Planta Recuperadora de Metales SpA	Chile
Gold	PT Aneka Tambang (Persero) Tbk	Indonesia
Gold	PX Precinox S.A.	Switzerland
Gold	Rand Refinery (Pty) Ltd.	South Africa
Gold	REMONDIS PMR B.V.	Netherlands
Gold	Royal Canadian Mint	Canada
Gold	SAAMP	France
Gold	Safimet S.p.A	Italy
Gold	SAFINA A.S.	Czechia

Gold	Samduck Precious Metals	Republic of Korea
Gold	SEMPSA Joyeria Plateria S.A.	Spain
Gold	Shandong Gold Smelting Co., Ltd.	China
Gold	Shandong Zhaojin Gold & Silver Refinery Co., Ltd.	China
Gold	Sichuan Tianze Precious Metals Co., Ltd.	China
Gold	Singway Technology Co., Ltd.	Taiwan
Gold	Solar Applied Materials Technology Corp.	Taiwan
Gold	Sumitomo Metal Mining Co., Ltd.	Japan
Gold	SungEel HiMetal Co., Ltd.	Republic of Korea
Gold	T.C.A S.p.A	Italy
Gold	Tanaka Kikinzoku Kogyo K.K.	Japan
Gold	Tokuriki Honten Co., Ltd.	Japan
Gold	TOO Tau-Ken-Altyn	Kazakhstan
Gold	Torecom	Republic of Korea
Gold	Umicore Precious Metals Thailand	Thailand
Gold	Umicore S.A. Business Unit Precious Metals Refining	Belgium
Gold	United Precious Metal Refining, Inc.	United States of America
Gold	Valcambi S.A.	Switzerland
Gold	Western Australian Mint (T/a The Perth Mint)	Australia
Gold	WIELAND Edelmetalle GmbH	Germany
Gold	Yamakin Co., Ltd.	Japan
Gold	Yokohama Metal Co., Ltd.	Japan
Gold	Zhongyuan Gold Smelter of Zhongjin Gold Corporation	China
Tantalum	AMG Brasil	Brazil
Tantalum	Changsha South Tantalum Niobium Co., Ltd.	China
Tantalum	D Block Metals, LLC	United States of America
Tantalum	F&X Electro-Materials Ltd.	China
Tantalum	FIR Metals & Resource Ltd.	China
Tantalum	Global Advanced Metals Aizu	Japan
Tantalum	Global Advanced Metals Boyertown	United States of America
Tantalum	Guangdong Rising Rare Metals-EO Materials Ltd.	China
Tantalum	H.C. Starck Hermsdorf GmbH	Germany
Tantalum	Hengyang King Xing Lifeng New Materials Co., Ltd.	China
Tantalum	Jiangxi Dinghai Tantalum & Niobium Co., Ltd.	China
Tantalum	Jiangxi Tuohong New Raw Material	China
Tantalum	JiuJiang JinXin Nonferrous Metals Co., Ltd.	China
Tantalum	Jiujiang Tanbre Co., Ltd.	China
Tantalum	Jiujiang Zhongao Tantalum & Niobium Co., Ltd.	China
Tantalum	KEMET de Mexico	Mexico
Tantalum	Materion Newton Inc.	United States of America
Tantalum	Meta Materials	Republic of North Macedonia
Tantalum	Metallurgical Products India Pvt., Ltd.	India
Tantalum	Mineracao Taboca S.A.	Brazil

Tantalum	Mitsui Mining and Smelting Co., Ltd.	Japan
Tantalum	Ningxia Orient Tantalum Industry Co., Ltd.	China
Tantalum	NPM Silmet AS	Estonia
Tantalum	QuantumClean	United States of America
Tantalum	Resind Industria e Comercio Ltda.	Brazil
Tantalum	RFH Yancheng Jinye New Material Technology Co., Ltd.	China
Tantalum	Taki Chemical Co., Ltd.	Japan
Tantalum	TANIOBIS Co., Ltd.	Thailand
Tantalum	TANIOBIS GmbH	Germany
Tantalum	TANIOBIS Japan Co., Ltd.	Japan
Tantalum	TANIOBIS Smelting GmbH & Co. KG	Germany
Tantalum	Telex Metals	United States of America
Tantalum	Ulba Metallurgical Plant JSC	Kazakhstan
Tantalum	XIMEI RESOURCES (GUANGDONG) LIMITED	China
Tantalum	XinXing HaoRong Electronic Material Co., Ltd.	China
Tantalum	Yanling Jincheng Tantalum & Niobium Co., Ltd.	China
Tin	Alpha	United States of America
Tin	Aurubis Beerse	Belgium
Tin	Aurubis Berango	Spain
Tin	Chenzhou Yunxiang Mining and Metallurgy Co., Ltd.	China
Tin	Chifeng Dajingzi Tin Industry Co., Ltd.	China
Tin	China Tin Group Co., Ltd.	China
Tin	CRM Fundicao De Metais E Comercio De Equipamentos Eletronicos Do Brasil Ltda	Brazil
Tin	CRM Synergies	Spain
Tin	CV Ayi Jaya	Indonesia
Tin	Dowa	Japan
Tin	EM Vinto	Plurinational State of Bolivia
Tin	Estanho de Rondonia S.A.	Brazil
Tin	Fabrica Auricchio Industria e Comercio Ltda.	Brazil
Tin	Fenix Metals	Poland
Tin	Gejiu Non-Ferrous Metal Processing Co., Ltd.	China
Tin	Gejiu Zili Mining And Metallurgy Co., Ltd.	China
Tin	Guangdong Hanhe Non-Ferrous Metal Co., Ltd.	China
Tin	HuiChang Hill Tin Industry Co., Ltd.	China
Tin	Jiangxi New Nanshan Technology Ltd.	China
Tin	Luna Smelter, Ltd.	Rwanda
Tin	Ma'anshan Weitai Tin Co., Ltd.	China
Tin	Magnu's Mineraiis Metais e Ligas Ltda.	Brazil
Tin	Malaysia Smelting Corporation (MSC)	Malaysia
Tin	Metallic Resources, Inc.	United States of America
Tin	Mineracao Taboca S.A.	Brazil

Tin	Minsur	Peru
Tin	Mitsubishi Materials Corporation	Japan
Tin	O.M. Manufacturing (Thailand) Co., Ltd.	Thailand
Tin	O.M. Manufacturing Philippines, Inc.	Philippines
Tin	Operaciones Metalurgicas S.A.	Plurinational State of Bolivia
Tin	PT Artha Cipta Langgeng	Indonesia
Tin	PT ATD Makmur Mandiri Jaya	Indonesia
Tin	PT Babel Inti Perkasa	Indonesia
Tin	PT Babel Surya Alam Lestari	Indonesia
Tin	PT Bangka Serumpun	Indonesia
Tin	PT Bukit Timah	Indonesia
Tin	PT Cipta Persada Mulia	Indonesia
Tin	PT Menara Cipta Mulia	Indonesia
Tin	PT Mitra Stania Prima	Indonesia
Tin	PT Mitra Sukses Globalindo	Indonesia
Tin	PT Prima Timah Utama	Indonesia
Tin	PT Putera Sarana Shakti (PT PSS)	Indonesia
Tin	PT Rajawali Rimba Perkasa	Indonesia
Tin	PT Rajehan Ariq	Indonesia
Tin	PT Refined Bangka Tin	Indonesia
Tin	PT Sariwiguna Binasentosa	Indonesia
Tin	PT Stanindo Inti Perkasa	Indonesia
Tin	PT Sukses Inti Makmur	Indonesia
Tin	PT Timah Tbk Kundur	Indonesia
Tin	PT Timah Tbk Mentok	Indonesia
Tin	PT Tinindo Inter Nusa	Indonesia
Tin	PT Tommy Utama	Indonesia
Tin	Resind Industria e Comercio Ltda.	Brazil
Tin	Rui Da Hung	Taiwan
Tin	Soft Metais Ltda.	Brazil
Tin	Thai Nguyen Mining and Metallurgy Co., Ltd.	Vietnam
Tin	Thaisarco	Thailand
Tin	Tin Smelting Branch of Yunnan Tin Co., Ltd.	China
Tin	Tin Technology & Refining	United States of America
Tin	White Solder Metalurgia e Mineracao Ltda.	Brazil
Tin	Yunnan Chengfeng Non-ferrous Metals Co., Ltd.	China
Tungsten	A.L.M.T. Corp.	Japan
Tungsten	ACL Metais Eireli	Brazil
Tungsten	Asia Tungsten Products Vietnam Ltd.	Vietnam
Tungsten	Hunan Shizhuyuan Nonferrous Metals Co., Ltd. Chenzhou Tungsten Products Branch	China
Tungsten	China Molybdenum Tungsten Co., Ltd.	China
Tungsten	Chongyi Zhangyuan Tungsten Co., Ltd.	China
Tungsten	Cronimet Brasil Ltda	Brazil

Tungsten	Fujian Ganmin RareMetal Co., Ltd.	China
Tungsten	Fujian Xinlu Tungsten	China
Tungsten	Ganzhou Haichuang Tungsten Co., Ltd.	China
Tungsten	Ganzhou Huaxing Tungsten Products Co., Ltd.	China
Tungsten	Ganzhou Jiangwu Ferrotungsten Co., Ltd.	China
Tungsten	Ganzhou Seadragon W & Mo Co., Ltd.	China
Tungsten	Global Tungsten & Powders Corp.	United States of America
Tungsten	Guangdong Xianglu Tungsten Co., Ltd.	China
Tungsten	H.C. Starck Tungsten GmbH	Germany
Tungsten	Hubei Green Tungsten Co., Ltd.	China
Tungsten	Hunan Chenzhou Mining Co., Ltd.	China
Tungsten	Hunan Jintai New Material Co., Ltd.	China
Tungsten	Japan New Metals Co., Ltd.	Japan
Tungsten	Jiangwu H.C. Starck Tungsten Products Co., Ltd.	China
Tungsten	Jiangxi Gan Bei Tungsten Co., Ltd.	China
Tungsten	Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd.	China
Tungsten	Jiangxi Xinsheng Tungsten Industry Co., Ltd.	China
Tungsten	Jiangxi Yaosheng Tungsten Co., Ltd.	China
Tungsten	Kennametal Fallon	United States of America
Tungsten	Kennametal Huntsville	United States of America
Tungsten	KGETS Co., Ltd.	Republic of Korea
Tungsten	Lianyou Metals Co., Ltd.	Taiwan
Tungsten	Malipo Haiyu Tungsten Co., Ltd.	China
Tungsten	Masan High-Tech Materials	Vietnam
Tungsten	Moliren Ltd.	Russian Federation
Tungsten	Niagara Refining LLC	United States of America
Tungsten	Philippine Chuangxin Industrial Co., Inc.	Philippines
Tungsten	TANIOBIS Smelting GmbH & Co. KG	Germany
Tungsten	Wolfram Bergbau und Hutten AG	Austria
Tungsten	Xiamen Tungsten (H.C.) Co., Ltd.	China
Tungsten	Xiamen Tungsten Co., Ltd.	China
Tungsten	Xinfeng Huarui Tungsten & Molybdenum New Material Co., Ltd.	China

‡ Denotes a smelter that was removed from our supply chain in the reporting year.

Table 2: Smelters and refiners not on RMAP conformant list but actively working with RMAP (Active)

Metal	Smelter or Refiner Name	Country Location
Gold	Abington Reldan Metals, LLC	United States of America
Gold	Alexy Metals	United States of America
Gold	Augmont Enterprises Private Limited	India
Gold	C.I Metales Procesados Industriales SAS	Colombia
Gold	GGC Gujrat Gold Centre Pvt. Ltd.	India
Gold	Sancus ZFS (L'Orfebre, SA)	Colombia
Gold	WEEEREFINING	France
Tin	CV Venus Inti Perkasa	Indonesia
Tin	PT Aries Kencana Sejahtera	Indonesia
Tin	PT Bangka Prima Tin	Indonesia
Tin	PT Belitung Industri Sejahtera	Indonesia
Tin	PT Timah Nusantara	Indonesia
Tin	Super Ligas	Brazil

Table 3: Smelters and refiners not on RMAP conformant list

Metal	Smelter or Refiner Name	Country Location
Gold	African Gold Refinery‡	Uganda
Gold	Atasay Kuyumculuk Sanayi Ve Ticaret A.S.‡	Turkey
Gold	AU Traders and Refiners‡	South Africa
Gold	Caridad‡	Mexico
Gold	CGR Metalloys Pvt Ltd.‡	India
Gold	Degussa Sonne / Mond Goldhandel GmbH‡	Germany
Gold	Dijllah Gold Refinery FZC‡	United Arab Emirates
Gold	Emerald Jewel Industry India Limited (Unit 1)‡	India
Gold	Emerald Jewel Industry India Limited (Unit 2)‡	India
Gold	Emerald Jewel Industry India Limited (Unit 3)‡	India
Gold	Emerald Jewel Industry India Limited (Unit 4)‡	India
Gold	Fidelity Printers and Refiners Ltd.‡	Zimbabwe
Gold	Fujairah Gold FZC‡	United Arab Emirates
Gold	Gold Coast Refinery‡	Ghana
Gold	Guangdong Jinding Gold Limited‡	China
Gold	Guoda Safina High-Tech Environmental Refinery Co., Ltd.‡	China
Gold	Hangzhou Fuchunjiang Smelting Co., Ltd.‡	China
Gold	Hunan Chenzhou Mining Co., Ltd.‡	China
Gold	Hunan Guiyang yinxing Nonferrous Smelting Co., Ltd.‡	China
Gold	HwaSeong CJ CO., LTD.‡	Republic of Korea
Gold	Industrial Refining Company‡	Belgium
Gold	International Precious Metal Refiners‡	United Arab Emirates
Gold	JALAN & Company‡	India
Gold	JSC Ekaterinburg Non-Ferrous Metal Processing Plant‡	Russian Federation

Gold	JSC Novosibirsk Refinery‡	Russian Federation
Gold	JSC Uralelectromed‡	Russian Federation
Gold	K.A. Rasmussen‡	Norway
Gold	Kaloti Precious Metals‡	United Arab Emirates
Gold	Kazakhmys Smelting LLC‡	Kazakhstan
Gold	Kundan Care Products Ltd.‡	India
Gold	Kyshtym Copper-Electrolytic Plant ZAO‡	Russian Federation
Gold	L'azurde Company For Jewelry‡	Saudi Arabia
Gold	Lingbao Gold Co., Ltd.‡	China
Gold	Lingbao Jinyuan Tonghui Refinery Co., Ltd.‡	China
Gold	Luoyang Zijin Yinhui Gold Refinery Co., Ltd.‡	China
Gold	Marsam Metals‡	Brazil
Gold	MD Overseas‡	India
Gold	Metallix Refining Inc.‡	United States of America
Gold	Modeltech Sdn Bhd‡	Malaysia
Gold	Morris and Watson‡	New Zealand
Gold	Moscow Special Alloys Processing Plant‡	Russian Federation
Gold	OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet) ‡	Russian Federation
Gold	Pease & Curren‡	United States of America
Gold	Penglai Penggang Gold Industry Co., Ltd.‡	China
Gold	Prioksky Plant of Non-Ferrous Metals‡	Russian Federation
Gold	QG Refining, LLC‡	United States of America
Gold	Refinery of Seemine Gold Co., Ltd.‡	China
Gold	Sabin Metal Corp.‡	United States of America
Gold	Sai Refinery‡	India
Gold	Samwon Metals Corp.‡	Republic of Korea
Gold	Sellem Industries Ltd. ‡	Mauritania
Gold	Shandong Humon Smelting Co., Ltd.‡	China
Gold	Shandong Tiancheng Biological Gold Industrial Co., Ltd.‡	China
Gold	Shenzhen Zhonghenglong Real Industry Co., Ltd. ‡	China
Gold	Shirpur Gold Refinery Ltd.‡	India
Gold	SOE Shyolkovsky Factory of Secondary Precious Metals‡	Russian Federation
Gold	Sovereign Metals‡	India
Gold	State Research Institute Center for Physical Sciences and Technology‡	Lithuania
Gold	Sudan Gold Refinery‡	Sudan
Gold	Super Dragon Technology Co., Ltd. ‡	Taiwan
Gold	Tongling Nonferrous Metals Group Co., Ltd.‡	China
Gold	Yunnan Copper Industry Co., Ltd.‡	China
Tantalum	Solikamsk Magnesium Works OAO‡	Russian Federation
Tin	An Vinh Joint Stock Mineral Processing Company‡	Vietnam
Tin	Dongguan CiEXPO Environmental Engineering Co., Ltd.‡	China
Tin	Electro-Mechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock Company‡	Vietnam

Tin	Gejiu City Fuxiang Industry and Trade Co., Ltd.‡	China
Tin	Gejiu Kai Meng Industry and Trade LLC‡	China
Tin	Gejiu Yunxin Nonferrous Electrolysis Co., Ltd. ‡	China
Tin	Melt Metais e Ligas S.A. ‡	Brazil
Tin	Modeltech Sdn Bhd‡	Malaysia
Tin	Nghe Tinh Non-Ferrous Metals Joint Stock Company‡	Vietnam
Tin	Novosibirsk Processing Plant Ltd. ‡	Russian Federation
Tin	Pongpipat Company Limited‡	Myanmar
Tin	Precious Minerals and Smelting Limited‡	India
Tin	PT Panca Mega Persada‡	Indonesia
Tin	PT Tirus Putra Mandiri‡	Indonesia
Tin	Tuyen Quang Non-Ferrous Metals Joint Stock Company‡	Vietnam
Tin	VQB Mineral and Trading Group JSC‡	Vietnam
Tin	Yunnan Yunfan Non-ferrous Metals Co., Ltd. ‡	China
Tungsten	Albasteel Industria e Comercio de Ligas Para Fundicao Ltd. ‡	Brazil
Tungsten	Artek LLC‡	Russian Federation
Tungsten	CNMC (Guangxi) PGMA Co., Ltd.‡	China
Tungsten	Hydrometallurg, JSC‡	Russian Federation
Tungsten	Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd.‡	China
Tungsten	JSC "Kirovgrad Hard Alloys Plant"‡	Russian Federation
Tungsten	NPP Tyazhmetprom LLC‡	Russian Federation
Tungsten	OOO "Technolom" 1‡	Russian Federation
Tungsten	OOO "Technolom" 2‡	Russian Federation
Tungsten	Unecha Refractory metals plant‡	Russian Federation

‡ Denotes a smelter that was removed from our supply chain in the reporting year.