SEC Form 3

FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			UI SECI	1011 30(11) 0	I the investment Company Act of 1	1940				
1. Name and Address of Reporting Person*       2. Date of Event Requiring Statemer         GREENE JAMES H JR       (Month/Day/Year)         08/05/2009       08/05/2009			nent	3. Issuer Name <b>and</b> Ticker or Tra <u>Avago Technologies L</u>		0]				
(Last) (First) (Middle)				4. Relationship of Reporting Pers	son(s) to Issue	er	5. lf /	Amendment, Da	ate of Original Filed	
C/O KOHLBERG	KRAVIS F	OBERTS &			(Check all applicable)				th/Day/Year)	<b>3</b>
CO. L.P.					X Director X Officer (give title	10% Owne Other (spe				
9 WEST 57TH ST	REET, SU	TE 4200			below)	below)	city		lividual or Joint cable Line)	/Group Filing (Check
,									Form filed b	y One Reporting Person
(Street) NEW YORK NY		10019						X	Form filed b Reporting P	y More than One erson
		10019							1 0	
(City) (Sta	te)	(Zip)								
			able I - No	-Deriva	tive Securities Beneficial	lly Owned				
1. Title of Security (In	str A)	•			2. Amount of Securities	3. Ownersh	vin	4 Nat	ure of Indirect	Beneficial Ownership
1. The of Security (in	50.4)				Beneficially Owned (Instr. 4)	Form: Direct or Indirect (Instr. 5)	ct (D)	(Instr.		
Ordinary Shares, no par value					17,782,701	I Held through Bali Investment and KKR Millennium Fund ( Limited Partnership <sup>(1)(2)(3)(4)(14</sup>		ium Fund (Overseas),		
Ordinary Shares, no par value					35,407,740	I	I Held through Bali Investments S.? r and KKR European Fund, Limited Partnership <sup>(1)(2)(5)(6)(14)</sup>		ın Fund, Limited	
Ordinary Shares, no par value					23,748,545	I		Held through Bali Investments S.? r and KKR European Fund II, Limited Partnership <sup>(1)(2)(7)(8)(14)</sup>		in Fund II, Limited
Ordinary Shares, no par value					3,144,049	I		Held through Bali Investments S.? r.l. and KKR Partners (International), Limited Partnership <sup>(1)(2)(9)(10)(14)</sup>		
Ordinary Shares, no par value					12,123,637	I Held through Bali Investments S.1 and Avago Investment Partners, Limited Partnership <sup>(1)(2)(11)(12)(14)</sup>		nent Partners,		
		10.1			ve Securities Beneficially		<u> </u>			
				-	ants, options, convertible				1_	
1. Title of Derivative S	security (ins	tr. 4)	Expiration D	ate	3. Title and Amount of Secur Underlying Derivative Secur	ity (Instr. 4) Conve		rsion	5. Ownership	6. Nature of Indirect Beneficial Ownership
			(Month/Day/	rear)	or Exe			Form: Direct (D)	(Instr. 5)	
						Amount or	Deriva Securi		or Indirect (I) (Instr. 5)	
			Dete	Eveninatio		Number		ity	(1) (11301-3)	
			Date Exercisable	Expiratio Date	Title	of Shares				
Stock Options (righ	t to buy) <sup>(13</sup>	)(14)	(13)	04/13/201	1 Ordinary Shares	50,000	5	5	D	
1. Name and Address of	of Reporting	Person*	1	1	1				1	1
<b>GREENE JAM</b>										
(Last)	(First)	(Middle)								
C/O KOHLBERG	KRAVIS F	ROBERTS & CO. L	<b>P</b> .							
9 WEST 57TH ST	REET, SU	TE 4200								
(Stroot)										
(Street) NEW YORK	NY	10019								
(City)	(State)	(Zip)								
1. Name and Address of KKR Millenniu		Person <sup>*</sup>								
(Last)	(First)	(Middle)								

C/O KOHLBERG 9 WEST 57TH STI	KRAVIS ROBERTS REET	& CO. L.P.
(Street) NEW YORK	NY	10019
(City)	(State)	(Zip)
1. Name and Address of <u>KKR Associate</u> <u>Partnership</u>	f Reporting Person <sup>*</sup> <u>s Millennium (O</u>	<u>verseas) Limited</u>
	(First) KRAVIS ROBERTS REET, SUITE 4200	(Middle) & CO. L.P.
(Street) NEW YORK	NY	10019
(City)	(State)	(Zip)
1. Name and Address of <u>KKR Millenniu</u> <u>Partnership</u>	of Reporting Person <sup>*</sup> <u>m Fund (Oversea</u>	as), <u>Limited</u>
	(First) KRAVIS ROBERTS REET, SUITE 4200	(Middle) & CO. L.P.
(Street) NEW YORK	NY	10019
(City)	(State)	(Zip)
1. Name and Address of <u>KKR Europe L</u>		
	(First) KRAVIS ROBERTS REET, SUITE 4200	(Middle) & CO. L.P.
(Street) NEW YORK	NY	10019
(City)	(State)	(Zip)
1. Name and Address of <u>KKR Associate</u>	of Reporting Person <sup>*</sup> s <u>Europe, Limite</u>	<u>d Partnership</u>
(Last)	(First)	(Middle)
	KRAVIS ROBERTS REET, SUITE 4200	& CO. L.P.
(Street) NEW YORK	NY	10019
(City)	(State)	(Zip)
1. Name and Address of <u>KKR European</u>	of Reporting Person <sup>*</sup> Fund, Limited P	<u>artnership</u>
(Last) C/O KOHLBERG	(First) KRAVIS ROBERTS	(Middle) & CO. L.P.
9 WEST 57TH ST	REET, SUITE 4200	
(Street) NEW YORK	NY	10019
(City)	(State)	(Zip)

1. Name and Addres <u>KRAVIS HEN</u>		son*			
(Last)	(First)	(Middle)			
C/O KOHLBERG KRAVIS ROBERTS & CO. L.P.					
9 WEST 57TH S	TREET, SUITE	E 4200			
(Street)					
NEW YORK	NY	10019			
(City)	(State)	(Zip)			
1. Name and Address of Reporting Person <sup>*</sup> ROBERTS GEORGE R					
(Last)	(First)	(Middle)			
C/O KOHLBER	G KRAVIS RO	BERTS & CO. L.P.			
9 WEST 57TH S	TREET, SUITE	E 4200			
(Street) NEW YORK	NY	10019			
(City)	(State)	(Zip)			
1. Name and Addres		'son <sup>*</sup>			
(Last)	(First)	(Middle)			
C/O KOHLBER	G KRAVIS RO	BERTS & CO. L.P.			
9 WEST 57TH S	TREET, SUITH	E 4200			
(Street)					
NEW YORK	NY	10019			
(City)	(State)	(Zip)			

#### Explanation of Responses:

1. Bali Investments S.? r.l. ("Bali") holds 172,676,402 of the ordinary shares of Avago Technologies Limited ("Avago"). The shareholders of Bali include overseas investment funds affiliated with Kohlberg Kravis Roberts & Co. L.P. ("KKR") and funds affiliated with Silver Lake Partners ("Silver Lake"). Each such person may be deemed to be a member of a group with respect to the ordinary shares of Avago held by Bali. However, each such person disclaims membership in any such group and disclaims beneficial ownership of these securities, except to the extent of its pecuniary interest therein.

2. Bali, certain affiliates of KKR, certain affiliates of Silver Lake, Seletar Investments Pte Ltd and Geyser Investment Pte Ltd are parties to a shareholders agreement with respect to the ordinary shares of Avago, and solely as a result of which each such person may be deemed to be a member of a group with respect to the ordinary shares of Avago directly or indirectly owned by such persons. However, each such person disclaims membership in any such group and disclaims beneficial ownership of these securities, except to the extent of its pecuniary interest therein. Certain affiliates of KKR collectively have the right under the shareholders agreement to nominate up to three directors of Avago.

3. KKR Millennium Fund (Overseas), Limited Partnership ("Millennium Fund"), as a shareholder of Bali, may be deemed to indirectly own 17,782,701 ordinary shares of Avago. As the sole general partner of Millennium Fund, KKR Associates Millennium (Overseas), Limited Partnership may be deemed to share voting and dispositive power with respect to the ordinary shares of Avago indirectly owned by Millennium Fund. As the sole general partner of KKR Associates Millennium (Overseas), Limited Partnership, KKR Millennium Limited may be deemed to share voting and dispositive power with respect to the ordinary shares of Avago indirectly owned by Millennium Fund. As the sole general partner of KKR Associates Millennium (Overseas), Limited Partnership, KKR Millennium Limited may be deemed to share voting and dispositive power with respect to the ordinary shares of Avago indirectly owned by Millennium Fund. KKR Associates Millennium (Overseas), Limited Partnership and KKR Millennium Limited disclaim beneficial ownership of such securities, except to the extent of their pecuniary interest.

4. The directors of KKR Millennium Limited are Messrs. Henry R. Kravis, George R. Roberts, James H. Greene, Jr., Paul E. Raether, Michael W. Michelson, Perry Golkin, Johannes P. Huth, Todd A. Fisher, Alexander Navab, Marc S. Lipschultz, Jacques Garaialde, Reinhard Gorenflos, Joseph Y. Bae, Brian F. Carroll, John K. Saer, Jr., Scott C. Nuttall, Michael M. Calbert and William J. Janetschek. Mr. Greene is a member of the board of directors of Avago. Each of such individuals may be deemed to share voting and dispositive power with respect to any shares beneficially owned by KKR Millennium Limited but disclaim beneficial ownership of such shares, except to the extent of his pecuniary interest therein.

5. KKR European Fund, Limited Partnership ("European Fund"), as a shareholder of Bali, may be deemed to indirectly own 35,407,740 ordinary shares of Avago. As the sole general partner of European Fund, KKR Associates Europe, Limited Partnership may be deemed to share voting and dispositive power with respect to the ordinary shares of Avago indirectly owned by European Fund. As the sole general partner of KKR Associates Europe, Limited Partnership, KKR Europe Limited may be deemed to share voting and dispositive power with respect to the ordinary shares of Avago indirectly owned by European Fund. As the sole general partner of KKR Associates Europe, Limited Partnership, KKR Europe Limited may be deemed to share voting and dispositive power with respect to the ordinary shares of Avago indirectly owned by European Fund. KKR Associates Europe, Limited Partnership and KKR Europe Limited disclaim beneficial ownership of such securities, except to the extent of their pecuniary interest.

6. The directors of KKR Europe Limited are the individuals named in footnote (4), other than Mr. Calbert. Each of such individuals may be deemed to share voting and dispositive power with respect to any shares beneficially owned by KKR Europe Limited but disclaim beneficial ownership of such shares, except to the extent of his pecuniary interest therein.

7. KKR European Fund II, Limited Partnership ("European Fund II"), as a shareholder of Bali, may be deemed to indirectly own 23,748,545 ordinary shares of Avago. As the sole general partner of European Fund II, KKR Associates Europe II, Limited Partnership may be deemed to share voting and dispositive power with respect to the ordinary shares of Avago indirectly owned by European Fund II. As the sole general partner of KKR Associates Europe II, Limited Partnership, KKR Europe II Limited may be deemed to share voting and dispositive power with respect to the ordinary shares of Avago indirectly owned by European Fund II. KKR Associates Europe II, Limited Partnership and KKR Europe II Limited may be deemed to share voting and dispositive power with respect to the ordinary shares of Avago indirectly owned by European Fund II. KKR Associates Europe II, Limited Partnership and KKR Europe II Limited disclaim beneficial ownership of such securities, except to the extent of their pecuniary interest.

8. The directors of KKR Europe Limited II are the individuals named in footnote (4). Each of such individuals may be deemed to share voting and dispositive power with respect to any shares beneficially owned by KKR Europe Limited II but disclaim beneficial ownership of such shares, except to the extent of his pecuniary interest therein.

9. KKR Partners (International), Limited Partnership ("Partners International"), as a shareholder of Bali, may be deemed to indirectly own 3,144,049 ordinary shares of Avago. As the sole general partner of Partners International, KKR 1996 Overseas, Limited may be deemed to share voting and dispositive power with respect to the ordinary shares of Avago indirectly owned by Partners International. KKR 1996 Overseas, Limited disclaims beneficial ownership of such securities, except to the extent of its pecuniary interest.

10. The directors of KKR 1996 Overseas, Limited are the individuals named in footnote (4), other than Messrs. Garaialde and Calbert. Each of such individuals may be deemed to share voting and dispositive power with respect to any shares beneficially owned by KKR 1996 Overseas, Limited but disclaim beneficial ownership of such shares, except to the extent of his pecuniary interest therein.

11. Avago Investment Partners, Limited Partnership ("AIP"), as a shareholder of Bali, may be deemed to indirectly own 12,123,637 ordinary shares of Avago. As the sole general partner of AIP, Avago Investment G.P., Limited may be deemed to share voting and dispositive power with respect to the ordinary shares of Avago indirectly owned by AIP. As a member of Avago Investment G.P., Limited, KKR Millennium GP LLC may be deemed to share voting and dispositive power with respect to the ordinary shares of Avago indirectly owned by AIP. Avago Investment G.P., Limited and KKR Millennium GP LLC disclaim beneficial ownership of such securities, except to the extent of their pecuniary interest.

12. KKR Millennium GP LLC is a limited liability company, the managing members of which are Messrs. Henry R. Kravis and George R. Roberts, and the other members of which are the other individuals named in footnote (4), other than Messrs. Bae, Carroll and Saer. Each of such individuals may be deemed to share voting and dispositive power with respect to any shares beneficially owned by KKR Millennium GP LLC but disclaim beneficial ownership of such shares, except to the extent of his pecuniary interest therein.

13. The options reported on this Form 3 are held solely by Mr. Greene. Options vest with respect to 20% of the shares subject thereto on each anniversary of December 1, 2005, such that the option will be fully vested and exercisable on December 1, 2010.

14. The filing of this statement shall not be deemed an admission that, for purposes of Section 16 of the Securities Exchange Act of 1934 or otherwise, any of the Reporting Persons is the beneficial owner of all such equity securities covered by this statement.

### Remarks:

Exhibit 24 - Powers of Attorney. Because no more than 10 reporting persons can file any one Form 3 through the SEC's EDGAR system, the reporting persons have filed four Form 3s with respect to Avago

Technologies Limited on the date hereof, all of which, taken together, constitute one Form 3. The reporting persons named in Box 1 of these four Form 3s are KKR Europe II Limited, KKR 1996 Overseas, Limited, KKR Millennium GP LLC, and James H. Greene Jr., and other reporting persons are listed elsewhere in these forms.

 See signatures of Reporting

 Persons attached as Exhibit
 08/0

 99.1
 \*\* Signature of Reporting Person
 Date

08/05/2009

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Joint Filer Information

Date of Ev	vent Requiring Statement:	August 5, 2009				
Issuer Nam	e and Ticker or Trading Symbol:	Avago Technologies Limited (AVGO)				
Designated	Filer:	James H. Greene, Jr.				
Other Joir	t Filers:	KKR Millennium Limited KKR Associates Millennium (Overseas), Limited Partnership KKR Millennium Fund (Overseas), Limited Partnership KKR Europe Limited KKR Associates Europe, Limited Partnership KKR European Fund, Limited Partnership Henry R. Kravis George R. Roberts Paul E. Raether				
Addresses:		The principal business address of the Joint Filers above is c/o Kohlberg Kravis Roberts & Co., 9 West 57th Street, New York, NY 10019, U.S.				
Signatures	::					
KKF	R Millennium Limited					
By:	/s/ William J. Janetschek					
	Name: William J. Janetschek Title: Director					
	KKR Associates Millennium (Overseas), Limited Partnership By: KKR Millennium Limited, its general partner					
By:	/s/ William J. Janetschek					
	Name: William J. Janetschek Title: Director					
By:	R Millennium Fund (Overseas), Limited F KKR Associates Millennium (Overseas), KKR Millennium Limited, its general p	, Limited Partnership, its general partner				
By:	/s/ William J. Janetschek					
	Name: William J. Janetschek Title: Director					
KKR	KKR Europe Limited					
By:	/s/ William J. Janetschek					
	Name: William J. Janetschek Title: Director					
	KKR Associates Europe, Limited Partnership By: KKR Europe Limited, its general partner					
By:	/s/ William J. Janetschek					
	Name: William J. Janetschek Title: Director					
By:	KR European Fund, Limited Partnership /: KKR Associates Europe, Limited Partnership, its general partner /: KKR Europe Limited, its general partner					
By:	/s/ William J. Janetschek					
	Name: William J. Janetschek Title: Director	-				
/s/	′William J. Janetschek					
William J. Janetschek, as attorney-in-fact for Henry R. Kravis						
	′William J. Janetschek					
	liam 1 lanetschek as attornev_in_fac					

William J. Janetschek, as attorney-in-fact for George R. Roberts

/s/ William J. Janetschek William J. Janetschek, as attorney-in-fact for James H. Greene, Jr.

/s/ William J. Janetschek

William J. Janetschek, as attorney-in-fact for Paul E. Raether

Know all men by these presents that Henry R. Kravis does hereby make, constitute and appoint William J. Janetschek and Richard J. Kreider, or either one of them, as a true and lawful attorney-in-fact of the undersigned with full powers of substitution and revocation, for and in the name, place and stead of the undersigned (both in the undersigned's individual capacity and as a member of any limited liability company or as a partner of any partnership for which the undersigned is otherwise authorized to sign), to execute and deliver such forms as may be required to be filed from time to time with the Securities and Exchange Commission with respect to: (i) Sections 13(d) and 16(a) of the Securities Exchange Act of 1934, as amended (the "Act"), including without limitation, Schedule 13D, Schedule 13G, statements on Form 3, Form 4 and Form 5 and (ii) in connection with any applications for EDGAR access codes, including without limitation the Form ID.

> /s/ Henry R. Kravis Name: Henry R. Kravis

Know all men by these presents that George R. Roberts does hereby make, constitute and appoint William J. Janetschek and Richard J. Kreider, or either one of them, as a true and lawful attorney-in-fact of the undersigned with full powers of substitution and revocation, for and in the name, place and stead of the undersigned (both in the undersigned's individual capacity and as a member of any limited liability company or as a partner of any partnership for which the undersigned is otherwise authorized to sign), to execute and deliver such forms as may be required to be filed from time to time with the Securities and Exchange Commission with respect to: (i) Sections 13(d) and 16(a) of the Securities Exchange Act of 1934, as amended (the "Act"), including without limitation, Schedule 13D, Schedule 13G, statements on Form 3, Form 4 and Form 5 and (ii) in connection with any applications for EDGAR access codes, including without limitation the Form ID.

> /s/ George R. Roberts Name: George R. Roberts

Know all men by these presents that James H. Greene, Jr. does hereby make, constitute and appoint William J. Janetschek and Richard J. Kreider, or either one of them, as a true and lawful attorney-in-fact of the undersigned with full powers of substitution and revocation, for and in the name, place and stead of the undersigned (both in the undersigned's individual capacity and as a member of any limited liability company or as a partner of any partnership for which the undersigned is otherwise authorized to sign), to execute and deliver such forms as may be required to be filed from time to time with the Securities and Exchange Commission with respect to: (i) Sections 13(d) and 16(a) of the Securities Exchange Act of 1934, as amended (the "Act"), including without limitation, Schedule 13D, Schedule 13G, statements on Form 3, Form 4 and Form 5 and (ii) in connection with any applications for EDGAR access codes, including without limitation the Form ID.

> /s/ James H. Greene, Jr. Name: James H. Greene, Jr.

Know all men by these presents that Paul E. Raether does hereby make, constitute and appoint William J. Janetschek and Richard J. Kreider, or either one of them, as a true and lawful attorney-in-fact of the undersigned with full powers of substitution and revocation, for and in the name, place and stead of the undersigned (both in the undersigned's individual capacity and as a member of any limited liability company or as a partner of any partnership for which the undersigned is otherwise authorized to sign), to execute and deliver such forms as may be required to be filed from time to time with the Securities and Exchange Commission with respect to: (i) Sections 13(d) and 16(a) of the Securities Exchange Act of 1934, as amended (the "Act"), including without limitation, Schedule 13D, Schedule 13G, statements on Form 3, Form 4 and Form 5 and (ii) in connection with any applications for EDGAR access codes, including without limitation the Form ID.

> /s/ Paul E. Raether Name: Paul E. Raether