FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington,     | $D \subset$ | 20540 |  |
|-----------------|-------------|-------|--|
| vvasiiiiiqtoii, | D.C.        | 20549 |  |

| STATEMENT | OF CHANGES | S IN BENEFIC | IAL OWNERSH | HР |
|-----------|------------|--------------|-------------|----|

| OMB APPRO             | DVAL                                 |
|-----------------------|--------------------------------------|
| OMB Number:           | 3235-0287                            |
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| hours per response:   | 0.5                                  |
|                       | OMB Number:<br>Estimated average bur |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|  |   |  |  |  | _  |   | . ,  |                                 |        |   |          |  | -   |   |                                 |   |                      |   |  |  |
|--|---|--|--|--|--|---|--|---------------------------------|--------|---|----------|--|---|---|---------------------------------|---|----------------------|---|--|--|
| 1. Name and Address of Reporting Person* <u>HARTENSTEIN EDDY W</u> |   |  |  |  | 2. Issuer Name and Ticker or Trading Symbol Broadcom Inc. [ AVGO ] |   |  |                                 |        |   |          |  | Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director 10% Owner |   |                                 |   |                      |   |  |  |
| (Last)   | (Fir  | st) (1                                     | Middle                                 | e)   | 3. Date of Earliest Transaction (Month/Day/Year) 09/12/2023        |   |  |                                 |        |   |          |  |   | Officer (give title below)  |                                 | le  | Othe<br>belov        | r (specify<br>v)  |  |  |
| C/O BROADCOM INC.<br>1320 RIDDER PARK DRIVE                        |   |  |  |  |  | 4. If Amendment, Date of Original Filed (Month/Day/Year)  |  |                                 |        |   |          |  |   | Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person |                                 |   |                      |   |  |  |
| (Street)<br>SAN JOS  | SE CA   | <b>A</b> 9                                 | 5131                                   | L  |  |   |  | 1()                             |        |   |          |  |   |   | Form<br>Perso                   |   | Nore tha             | an One R  | eporting                               |  |
| (City)   | (St   | ate) (2                                    | Zip)                                   |  | $ _{\Box}$   | Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |  |                                 |        |   |          |  |   |   | itended to                      |   |                      |   |  |  |
|  |   | Table                                      | I - N                                  | lon-Deriva                                   | tive S   | Secui   | rities   | Acq                             | uired  | d, Dis  | posed o  | f, or                                  | Benefici  | ally (  | Own                             | ed  |                      |   |  |  |
| 1. Title of Security (Instr. 3)                                    |   | D  | . Transaction<br>Date<br>Month/Day/Yea | r) Exe                                       | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)        |   | Cod  | Transaction Code (Instr.        |        | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and |          |  | Beneficially Owned Following  |   | es<br>ally<br>g                 | Form: Direct<br>(D) or<br>Indirect (I)  |                      | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
|  |   |  |  |  |  |   |  | Cod                             | le V   | Am  | nount (A | A) or<br>D)                            | Price   | Tr  | eported<br>ransact<br>nstr. 3 a | ion(s)  |                      |   |  |  |
| Common   | Stock, \$0.0  | 01 par value                               |  | 09/12/2023                                   |  |   |  | S                               |        |   | 600      | D                                      | \$854.672   | 1)  | 10,                             | 023   |                      | I   | See<br>Footnote <sup>(2)</sup>         |  |
| Common   | Stock, \$0.0  | 01 par value                               |  | 09/12/2023                                   |  |   |  | s                               |        |   | 900      | D                                      | \$856.353   | 3)  | 9,123                           |   |                      | Ι   | See<br>Footnote <sup>(2)</sup>         |  |
| Common   | Stock, \$0.0  | 01 par value                               |  |  |  |   |  |                                 |        |   |          |  |   |   | 397(4)                          |   |                      | D   |  |  |
|  |   | Tal  | ble II                                 | l - Derivati<br>(e.g., pu                    |  |   |  |                                 |        |   |          |  | eneficial<br>ecurities  |   | wned                            | i   |                      |   |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | Exec<br>if any                         | Deemed<br>Cution Date,<br>Y<br>nth/Day/Year) | 4.<br>Transa<br>Code (<br>8)                                       | (Instr.   | 5. Nu<br>of<br>Deriv<br>Secu<br>Acqu<br>(A) or<br>Dispo<br>of (D)<br>(Instr<br>and 5 | ative<br>rities<br>ired<br>osed | Expira | e Exerc<br>ation D<br>h/Day/`                                     |          | Am<br>Sec<br>Und<br>Der<br>Sec<br>3 ai | itle and ount of urities lerlying ivative urity (Instr. nd 4)  Amount or Number of            |   |                                 | 9. Numbe<br>derivativ<br>Securitie<br>Beneficia<br>Owned<br>Followine<br>Reported<br>Transact<br>(Instr. 4) | e<br>es<br>ally<br>g | 10.<br>Ownersh<br>Form:<br>Direct (D<br>or Indirec<br>(I) (Instr. | Beneficia<br>Ownershi<br>ct (Instr. 4) |  |

## **Explanation of Responses:**

- 1. Transaction executed in multiple trades at prices ranging from \$854.27 to \$854.88 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
- 2. Shares held by the Hartenstein family trust, for which the Reporting Person serves as trustee.
- 3. Transaction executed in multiple trades at prices ranging from \$856.34 to \$856.38 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
- 4. Represents restricted stock units

## Remarks:

/s/ Noel<u>le Matteson, Attorney-</u>

09/14/2023 in-Fact for Eddy W.

**Hartenstein** 

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.