## SEC Form 4

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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington, D.C. 2054

| Check this box if no longer subject to |
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| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL

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|---|------------------------|-----------|
|   | hours per response:    | 0.5       |
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|   | OMB Number:            | 3235-0287 |

| 1. Name and Address of Reporting Person <sup>*</sup><br>Ingram Bryan |                   |            | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>Broadcom Ltd</u> [ AVGO ] | (Chec                 | ationship of Reporting Per<br>k all applicable)<br>Director<br>Officer (give title        | rson(s) to Issuer<br>10% Owner<br>Other (specify |
|--|-------------------|------------|--|-----------------------|---|--|
| C/O AVAGO TECHNOLOGIES US INC.                                       |                   | ES US INC. | 3. Date of Earliest Transaction (Month/Day/Year)<br>06/16/2016                     |                       | below)<br>SVP & GM, Wireles   | below)   |
| (Street)<br>SAN JOSE<br>(City)                                       | SAN JOSE CA 95131 |            | 4. If Amendment, Date of Original Filed (Month/Day/Year)                           | 6. Indi<br>Line)<br>X | vidual or Joint/Group Filin<br>Form filed by One Rep<br>Form filed by More that<br>Person | orting Person                                    |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |                         | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5)<br>(Instr. |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |          |
|---------------------------------|--|---|-------------------------|---|--------|---|---|---|---|----------|
|                                 |  |   | Code V                  |   | Amount | (A) or<br>(D)   | Price   | Transaction(s)<br>(Instr. 3 and 4)                                |   | (1150.4) |
| Ordinary Shares, no par value   | 06/16/2016                                 |   | <b>M</b> <sup>(1)</sup> |   | 6,667  | A   | \$35.45   | 106,163(2)  | D |          |
| Ordinary Shares, no par value   | 06/16/2016                                 |   | <b>M</b> <sup>(1)</sup> |   | 20,000 | Α   | \$62.02   | 126,163 <sup>(2)</sup>  | D |          |
| Ordinary Shares, no par value   | 06/16/2016                                 |   | <b>S</b> <sup>(1)</sup> |   | 31,487 | D   | \$157.04 <sup>(3)</sup>   | 94,676 <sup>(2)</sup>   | D |          |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of  |        | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|-----|--------|--|--------------------|--|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A) | (D)    | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$35.45   | 06/16/2016                                 |   | M <sup>(1)</sup>             |   |     | 6,667  | (4)  | 03/11/2020         | Ordinary<br>Shares,<br>no par<br>value   | 6,667                                  | \$0   | 26,666   | D  |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$62.02   | 06/16/2016                                 |   | <b>M</b> <sup>(1)</sup>      |   |     | 20,000 | (5)  | 03/10/2021         | Ordinary<br>Shares,<br>no par<br>value   | 20,000                                 | \$0   | 152,000  | D  |  |

**Explanation of Responses:** 

1. Transaction made pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.

2. Includes 83,916 Restricted Share Units.

3. Transaction executed in multiple trades at prices ranging from \$156.57 to \$157.29 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

4. Share price performance option (the "2013 Option") granted pursuant to the Avago Technologies Limited 2009 Equity Incentive Award Plan (the "Avago Plan"). The 2013 Option vests over four years, with 25% vesting on each anniversary of the date of grant, such that the 2013 Option will be fully vested on March 12, 2017. The exercisability requirements for the 2013 Option have been met.

5. Share price performance option (the "2014 Option") granted pursuant to the Avago Plan. The 2014 Option vests over four years, with 25% vesting on each anniversary of the date of grant, such that the 2014 Option will be fully vested on March 11, 2018. The exercisability requirements for the 2014 Option have been met.

### **Remarks:**

/s/ Patricia McCall, Attorney-

in-Fact for Bryan Ingram

06/20/2016

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.