SEC Form 5

L

 \square

FORM 5

Form 3 Holdings Reported.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

I

OMB Number: 3235-0362								
Estimated average burden								
hours per response:	1.0							

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Form 4 Transa	ctions Reported.	File			e Securities Exchange Act of 1934 nent Company Act of 1940					
1. Name and Address of Reporting Person*			2. Issuer Name Broadcom			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
<u>TAN HOCK E</u>						X	Director	100	% Owner	
(Last) (First) (Middle)			3. Statement for	Issuer's Fisca	al Year Ended (Month/Day/Year)	X	Officer (give title below)		ner (specify ow)	
			10/31/2021				President and CEO			
1320 RIDDER PARK DRIVE										
(Street)			4. If Amendmen	t, Date of Orig	inal Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
SAN JOSE	CA	95131				X	Form filed by O	ne Reporting	Person	
							Form filed by M Person	ore than One	Reporting	
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
Date		2A. Deemed Execution Date, if any	3. Transaction Code (Instr.	4. Securities Acquired (A) or Disp Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially	6. Ownership Form: Direct	7. Nature of Indirect Beneficial		

	(Month/Day/Year)		f any Code (Instr. Month/Day/Year) 8)					Form: Direct (D) or	Beneficial Ownership
		(Monthin Day real)	6)	Amount	(A) or (D)	Price	Issuer's Fiscal		(Instr. 4)
Common Stock, \$0.001 par value	06/24/2021		G ⁽¹⁾	30,000	D	\$0	371,677	D	
Common Stock, \$0.001 par value	10/07/2021		G ⁽¹⁾	37,000	D	\$0	334,677	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of Deriv Secu Acqu (A) of Dispo of (D) (Instr	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ate	Amou Secur Unde Deriv Secur	7. Title and Amount of Securities Jnderlying Derivative Security (Instr. 5) Security (Instr. 3 and 4)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Charitable gift.

Remarks:

/s/ Noelle Matteson, Attorneyin Fast for Useds F. Terr. 11/12/2021

in-Fact for Hock E. Tan

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.