SEC Form 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

3. Date	dcom Inc. [A	VGO]]			ck all applicable) Director 10% Owner Officer (cive title Other (creative)				
4. lf An	4. If Amendment, Date of Original Filed (Month/Day/Year)						vidual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person			
n-Derivative S 2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8) Code V		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4		(A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
03/15/2020		M A		$2,500^{(1)}$ $3.125^{(4)}$	A	\$0 ⁽²⁾	97,611 ⁽³⁾ 100,736 ⁽³⁾	D D		
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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) (Disp of (I	Expiration (Month/Da (Month/Da (or sposed (D) str. 3, 4		e Exercisable and ation Date h/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Performance Based Restricted Stock Units	\$0.0	03/15/2020		М			2,500	(1)	03/15/2020 ⁽¹⁾	Common Stock, \$0.001 par value	2,500	\$0	0	D	

Explanation of Responses:

1. Shares vested pursuant to a share price performance restricted stock unit award (the "Performance RSU") granted pursuant to the Avago Technologies Limited 2009 Equity Incentive Award Plan ("2009 Avago Plan") on March 15, 2016. The Performance RSU is subject to vest over four years, with 25% vesting on each anniversary of the date of grant, such that the Performance RSU fully vested on March 15, 2020, subject to the Reporting Person's continued service through such date; and provided, however, that no portion of the Performance RSU may vest unless the average of the closing prices of the Issuer's shares (as a structure) and the performance RSU may be average of the closing prices of the Issuer's shares (as a structure) and the performance RSU may be average of the closing prices of the Issuer's shares (as a structure) and the performance RSU may be average of the closing prices of the Issuer's shares (as a structure) and the performance RSU may be average of the closing prices of the Issuer's shares (as a structure) and the performance RSU may be average of the closing prices of the Issuer's shares (as a structure) and the performance RSU may be average of the closing prices of the Issuer's shares (as a structure) and the performance RSU may be average of the closing prices of the Issuer's shares (as a structure) and the performance RSU may be average of the closing prices of the Issuer's shares (as a structure) and the performance RSU may be average of the closing prices of the Issuer's shares (as a structure) and the performance RSU may be average of the closing prices of the Issuer's shares (as a structure) are closed as a structure of the RSU may be average of the Issuer's shares (as a structure) are closed as a structure of the RSU may be average of reported on the stock exchange on which the shares are listed), over a twenty consecutive trading day period is equal to or greater than 120% of the fair market value of the Issuer's shares on the date of grant (the "Price Contingency"). On January 5, 2017, the Price Contingency was met, and on March 15, 2020, the fourth and final 25% of the Performance RSU vested.

2. Each Performance RSU represents the right to receive, at settlement, one share of common stock of the Issuer. This transaction represents the settlement of the Performance RSU in the Issuer's shares of common stock on the scheduled vest date

3. Includes 50,875 restricted stock units ("RSU").

4. On March 15, 2020, the Compensation Committee of the Issuer determined the achievement of certain performance criteria, based on the Issuer's compound annual total stockholder return relative to the S&P 500 Index, and the number of RSUs that vested on March 15, 2020 with respect to the third of four performance periods pursuant to the terms of a performance stock unit award granted on March 15, 2017 under the 2009 Avago Plan. The Reporting Person received one (1) share of common stock for each one (1) RSU.

Remarks:

/s/Noelle Matteson, Attorney-

in-Fact for Thomas H. Krause,

Jr.

** Signature of Reporting Person Date

03/17/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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